

DEEP LEARNING FOR EXOPLANET TRANSIT CLASSIFICATION

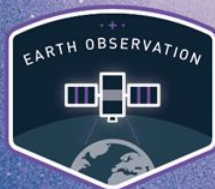
Hugh Osborn

CHESS Fellow at University of Bern & MIT

+ **Megan Ansdell**, Yani Ioannou, Michele Sasdelli,
Jeff Smith, Jon Jenkins, Doug Caldwell, Chedy Raissi, Dan Angerhausen,



FRONTIER DEVELOPMENT LAB



Google Cloud



XPRIZE



kx

IBM



NASA Frontier Development Lab (FDL)

Space
Scientists

Machine
Learning
Researchers

Silicon
Valley
Partners

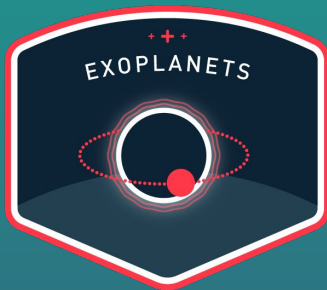


- 8 week research accelerator hosted at NASA Ames & SETI
- 9 projects across 5 areas proposed by lead mentors

Innovative
Solutions to
Space Science
Problems



Diverse & Interdisciplinary teams



Megan Ansdell
[Exoplaneteer]
UC Berkeley

Hugh Osborn
[Exoplaneteer]
LAM, Marseille



Yani Ioannou
[Deep Learning Expert]
University of Cambridge

Michele Sasdelli
[Deep Learning Expert]
University of Adelaide

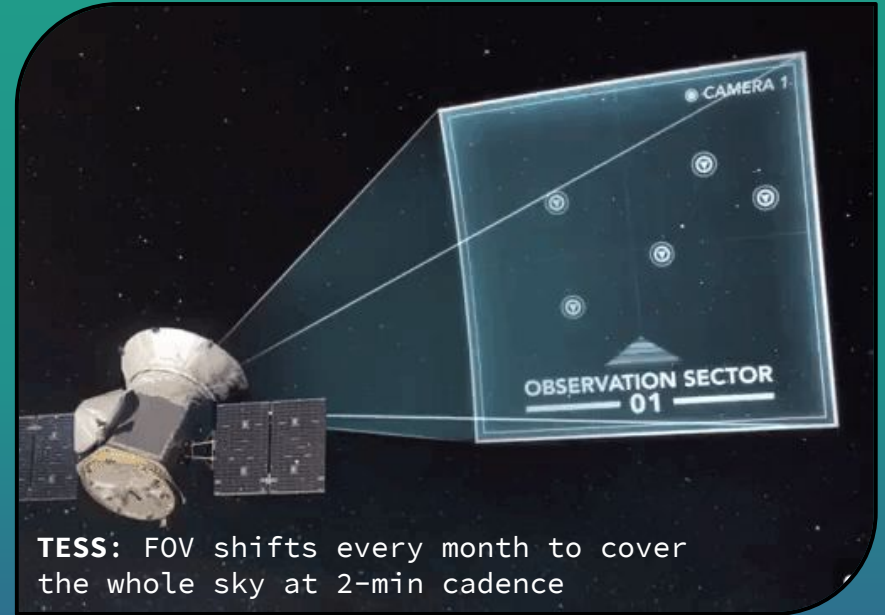
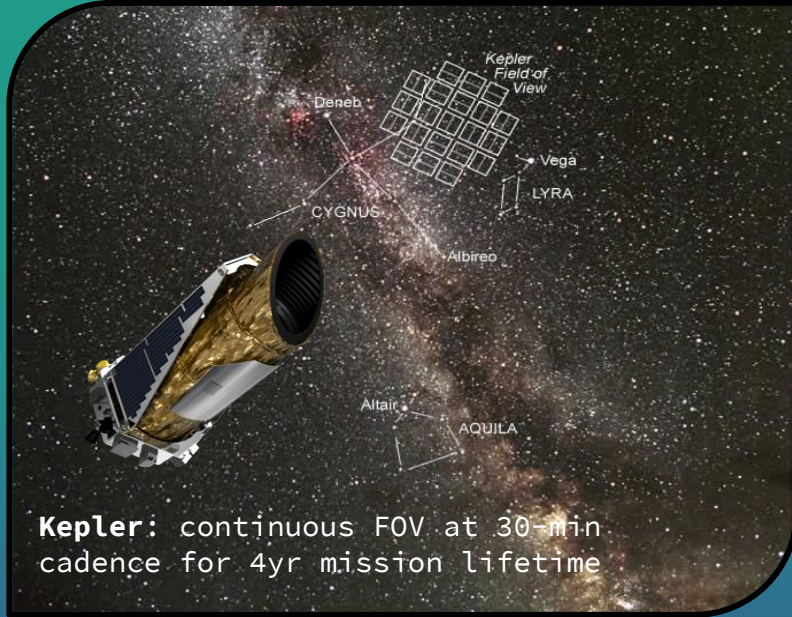
Project: Deep Learning for Exoplanet Transit Classification

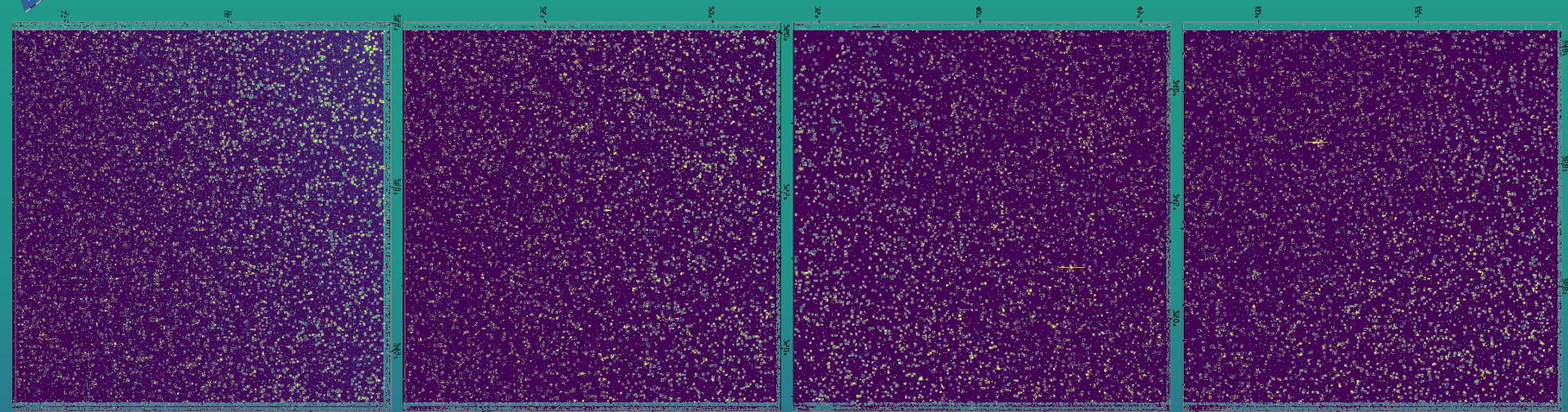
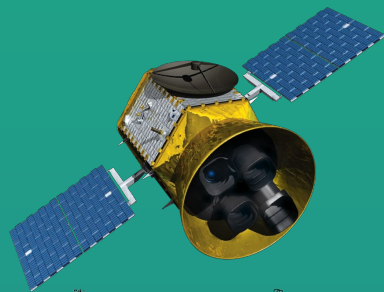
Team Mentors:

- *Science Expertise* → **J. Smith, D. Caldwell, J. Jenkins** (NASA Ames / SETI Institute), **D. Angerhausen** (University of Bern / CSH)
- *Machine Learning* → **C. Raissi** (INRIA), Y. Gal (Oxford)
- *Compute Power* → **M. Mascaro** (Google Cloud)

THE PROBLEM:

FROM RAW DATA TO PLANETS



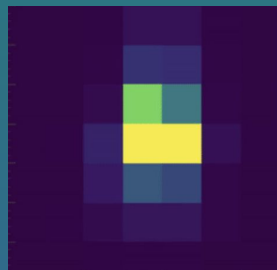


“Postage stamps” for target stars

Typical Kepler/TESS Raw data

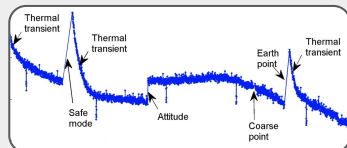
KEPLER & TESS PIPELINES

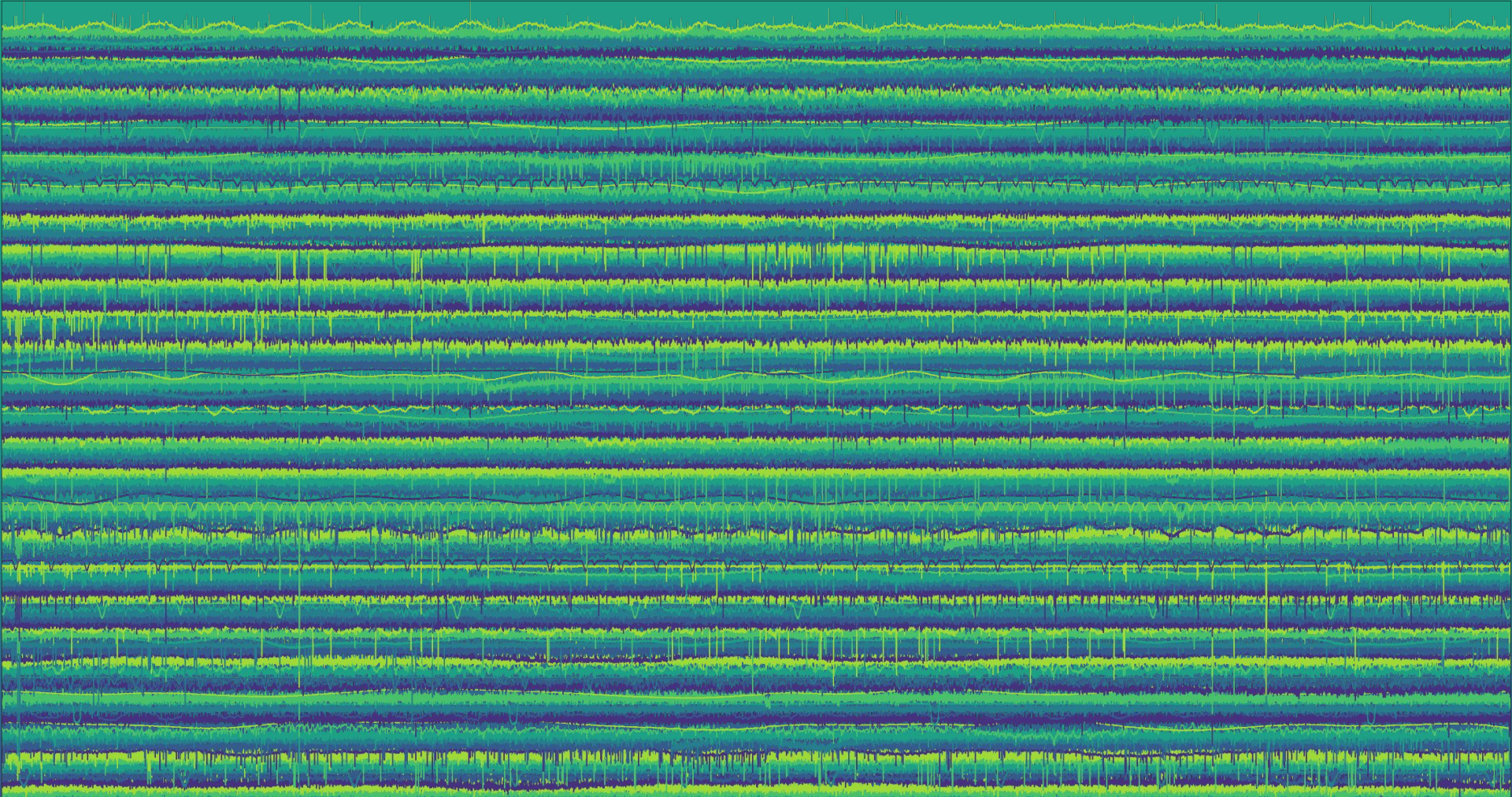
Target Pixel
File (TPF)



Smith+2012, Stumpe+2012

Aperture Photometry & Systematic Correction

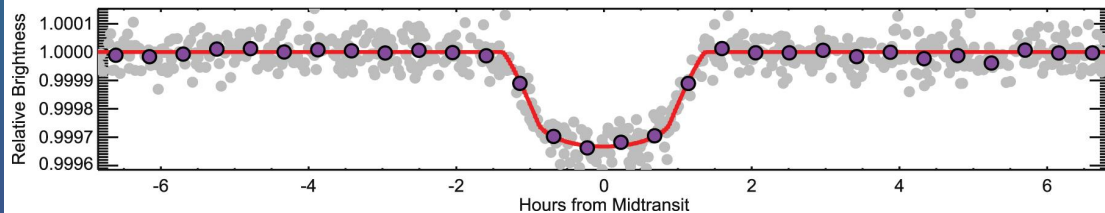
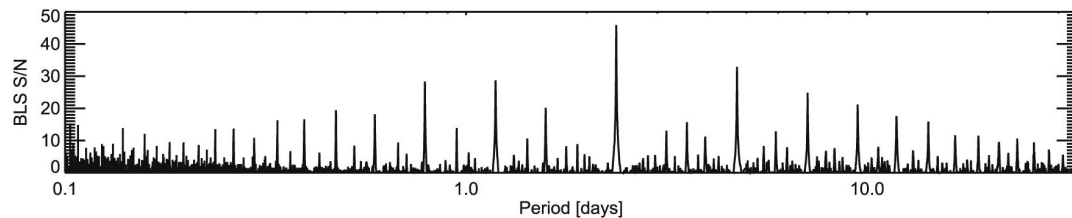
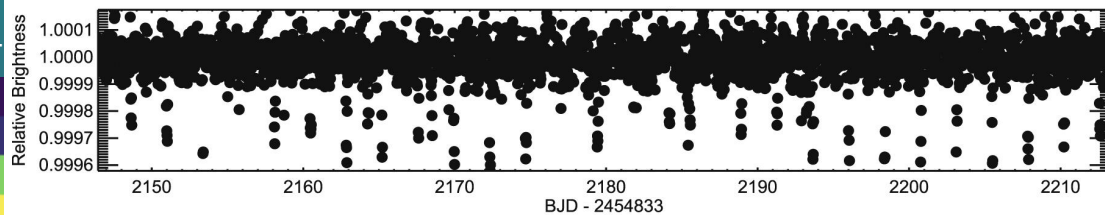




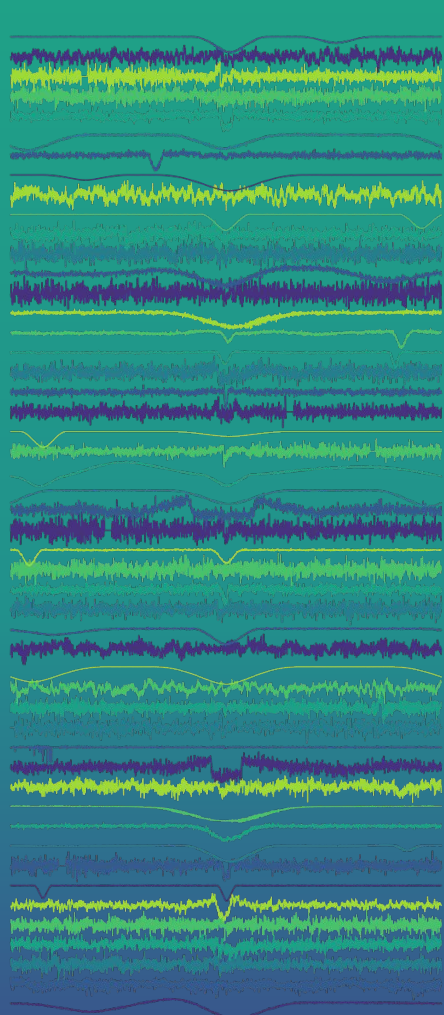
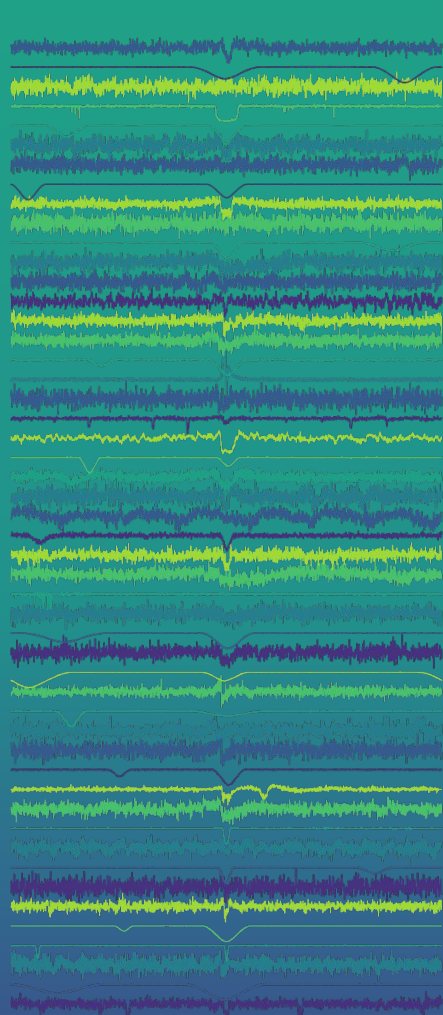
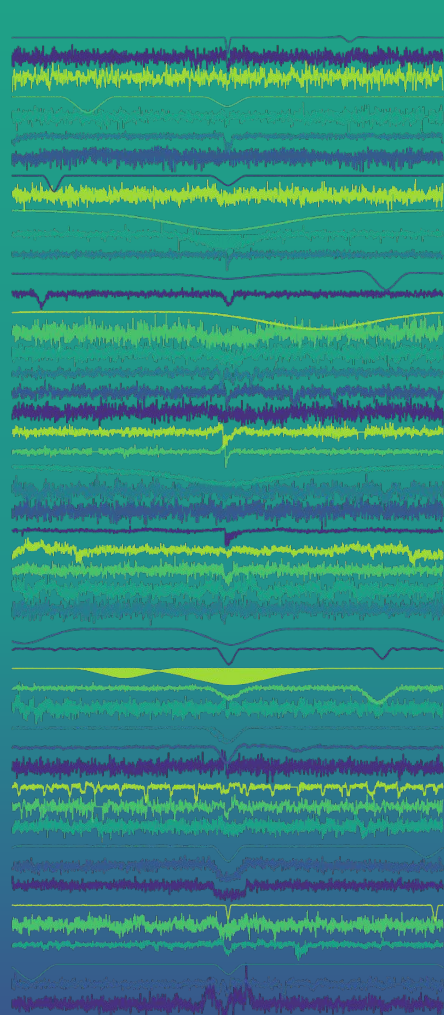
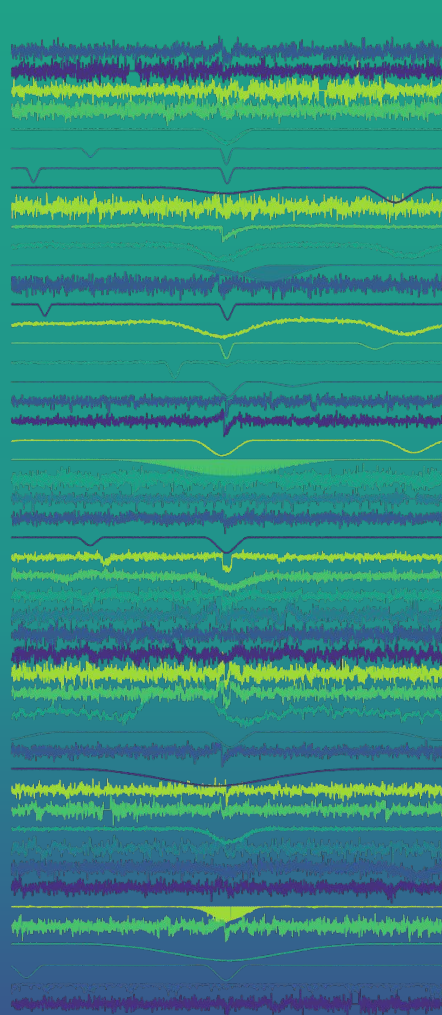
Simulated TESS Data

KEPLER & TESS PIPELINES

Target
File (T



2013



THE DATA: FALSE POSITIVES



Eclipsing
Binaries (EBs)



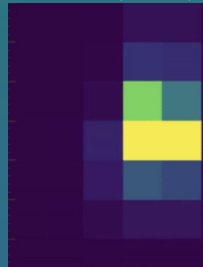
Background Eclipsing
Binaries (BEBs)



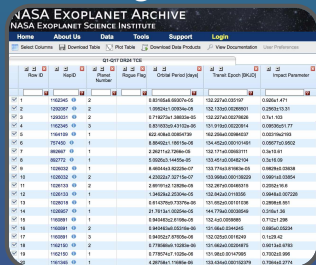
Stellar Variability /
Instrumental Noise

KEPLER & TESS PIPELINES

Target Pixel File (TPF)

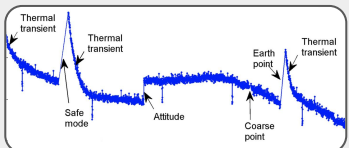


Exoplanet Catalogues



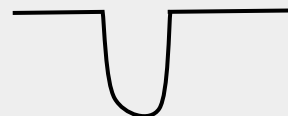
Smith+2012, Stumpe+2012

Aperture Photometry & Systematic Correction



Jenkins+2010, Seader+2013

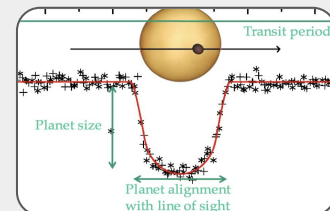
Transiting Planet Search (TPS)



Threshold Crossing Event (TCE)

Wu+2010

Data Validation (DV)



Batalha+2013, Burke+2014, Rowe+2015, Mully+2015

Candidate Classification
I.e. Human vetting



Follow-up observations



**Confirm/statistically
validate planets**

MANUAL VETTING



Used for Kepler on all Quarters (later used as labels for machine learning)

Current TESS team: 21 vetters. >200 human hours per sector

MANUAL VETTING



Can a machine do better?

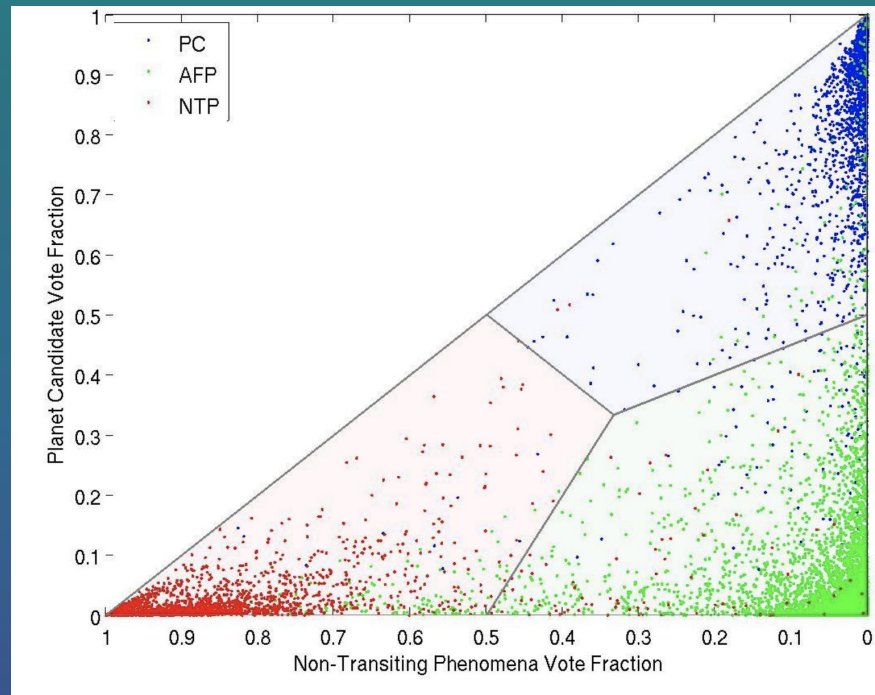
AUTOVETTER - RANDOM FORESTS

The Kepler team also produced a random forest – MacAuliff et al, (2015)

Used 230 features calculated from candidate lightcurve, model fits, etc.

3 output classes: planet, astrophysical dip (e.g. EBs) & non-transiting phenomena

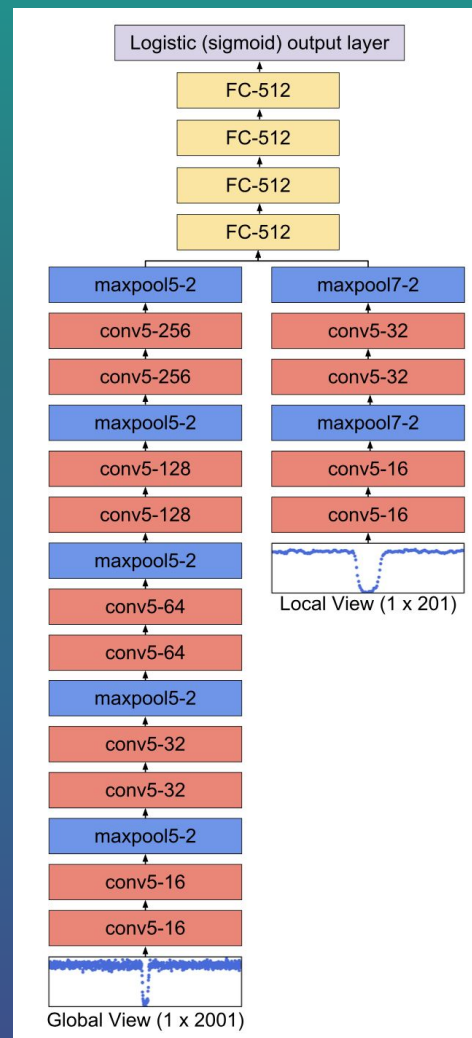
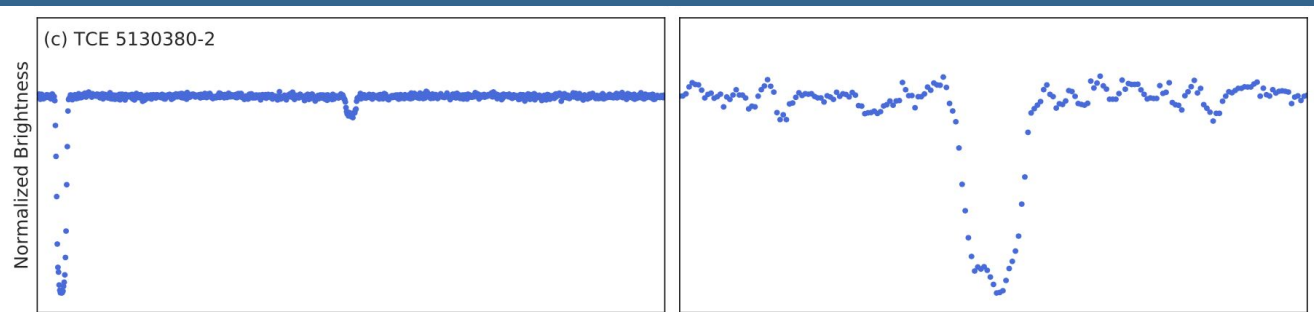
94.15% precision & 97.2% average precision (on human-labelled data)



SHALLUE & VANDERBURG 2018

Astronet

- Deep Convolutional Neural Net
- Inputs are “local” and “global” transit view of each candidate (TCE)
- Two disjoint 1D convolutional columns + 4 fully connected layers
- Output is a classification in the range $[0,1]$



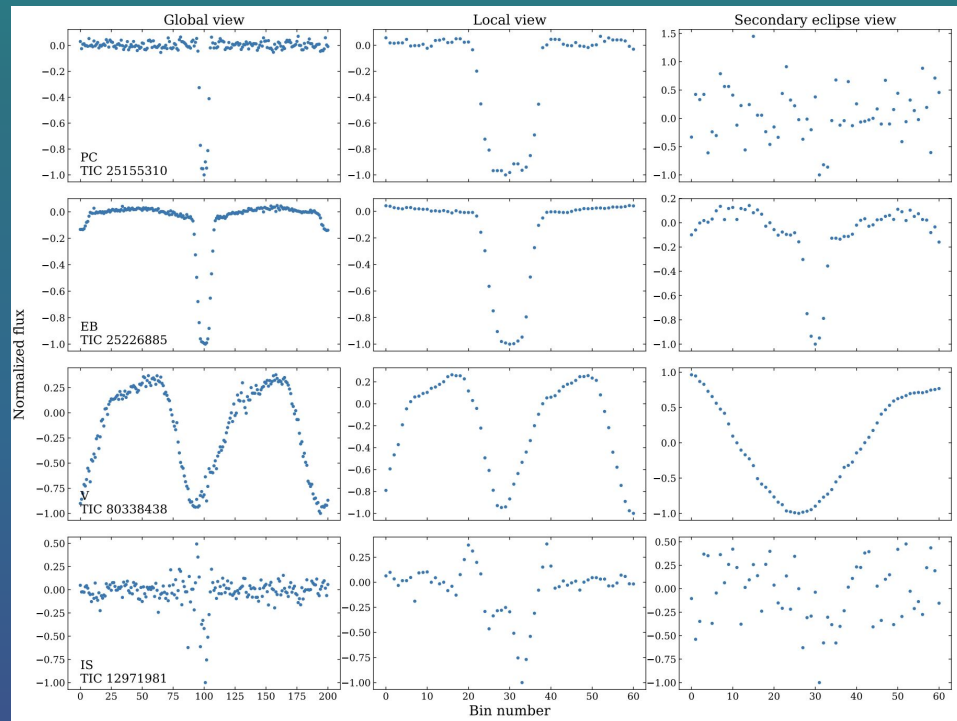
DEVELOPMENTS ON ASTRONET

Application to K2 data
(Dattilo et al 2019).

Application to TESS vetting:
Yu et al (2019)

Included secondary eclipse
region as an input.

Currently used in TESS
vetting at MIT.



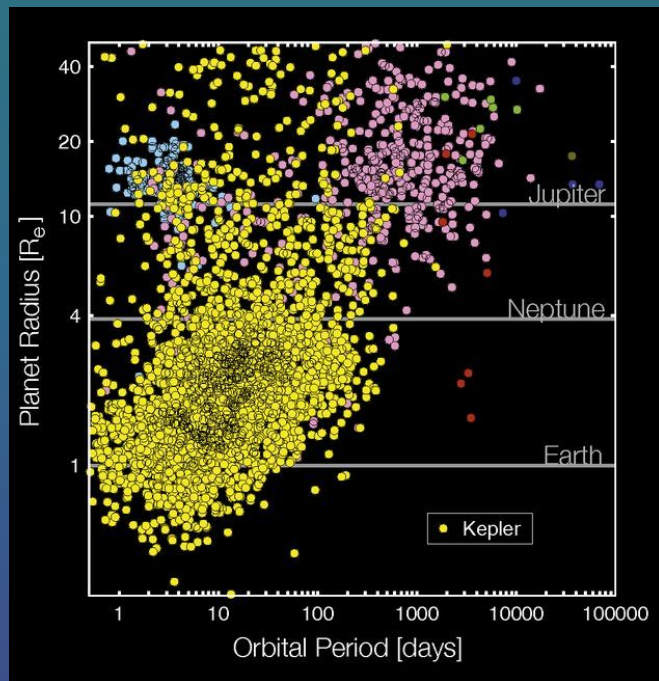
OUR FDL PROJECT

“Scientific Domain Knowledge Improves Exoplanet Transit Classification with Deep Learning”, Ansdell et al (2018) <https://arxiv.org/abs/1810.13434>

“Rapid Classification of TESS Planet Candidates with Convolutional Neural Networks”, Osborn et al (2019) <https://arxiv.org/abs/1902.08544>

KEPLER INPUT DATA & LABELS

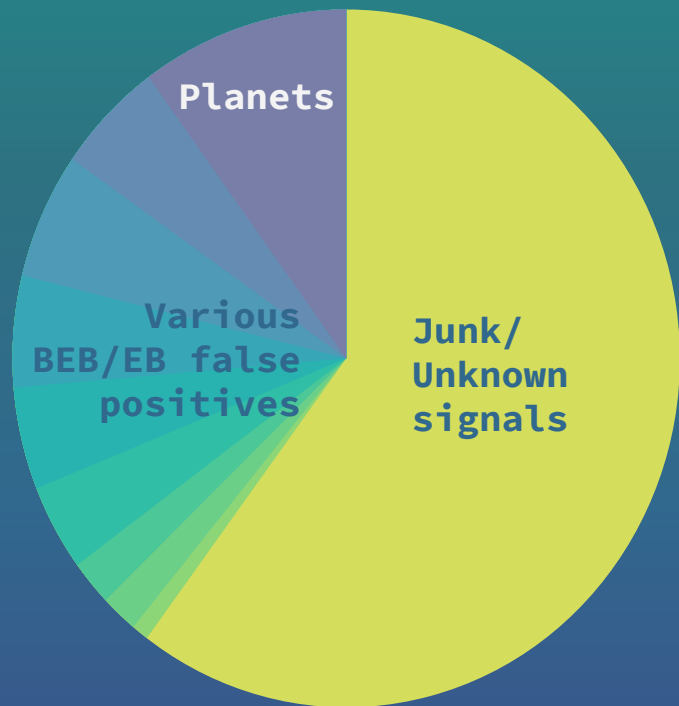
- 16,000 Threshold Crossing Events (TCEs) from Kepler DR24
- Labelled by human vetters
- ~25% planets & ~75% false positives
- Preprocessed the data following Shallue & Vanderburg:
 - Detrended lightcurve
 - Phase-folded onto TCE period
 - Binned to global & local view



Andsell, Ioannou, Osborn,
Saselli, et al. (2018)

TESS INPUT DATA & LABELS

- 4 Simulated sectors - i.e. we know the exact ground-truth
- Pixel-level signal injection, processed with the TESS pipeline
- ~16,000 candidates, ~14% planets
- Preprocessed the data following Shallue & Vanderburg



ASSESSING MODEL PERFORMANCE

Definitions

Ground Truth _{PL}	UNK		False Positives	
	PL	False Negatives	True Positives	False Negatives
	EBs		False Positives	
		UNK	PL Predicted	EBs

ASSESSING MODEL PERFORMANCE

Precision

* also known as accuracy

Ground Truth	UNK			
	PL			
	EBs			
		UNK	PL	EBs

Predicted

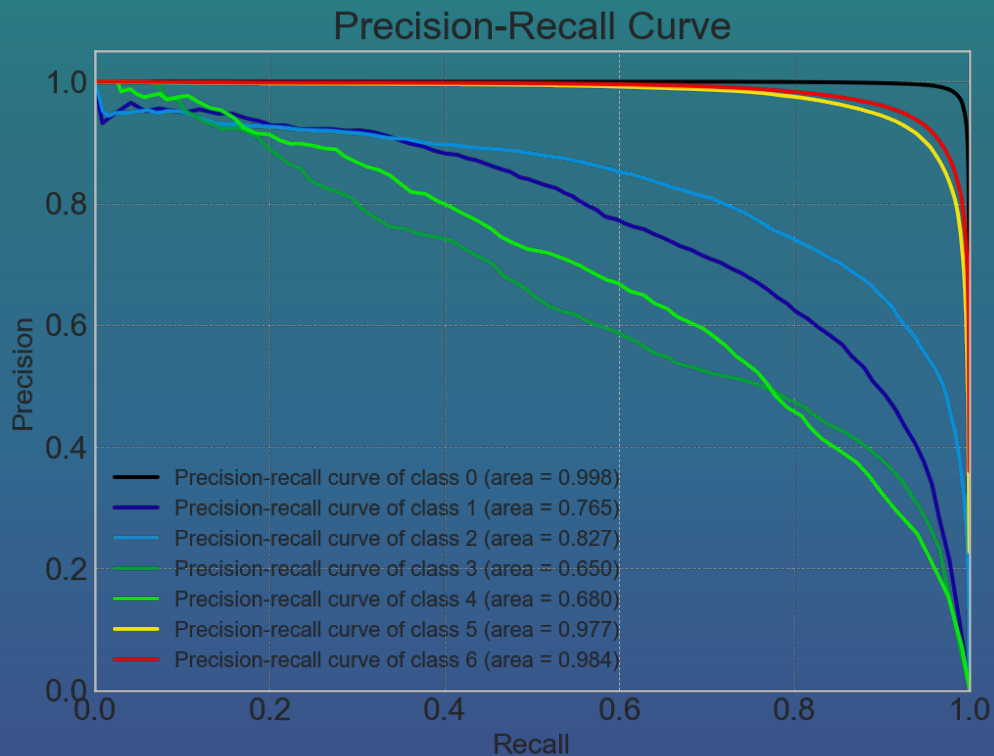
ASSESSING MODEL PERFORMANCE

Recall

UNK			
Ground Truth _{PL}			
EBs			
	UNK	PL	EBs
	Predicted		

ASSESSING MODEL PERFORMANCE

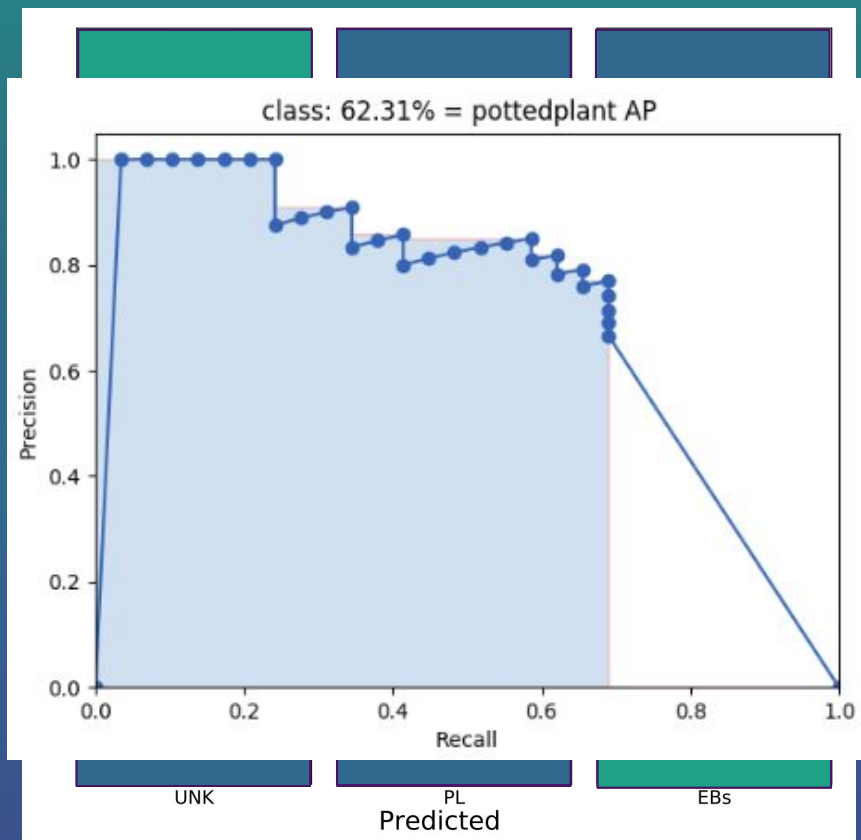
Precision-Recall Curve



ASSESSING MODEL PERFORMANCE

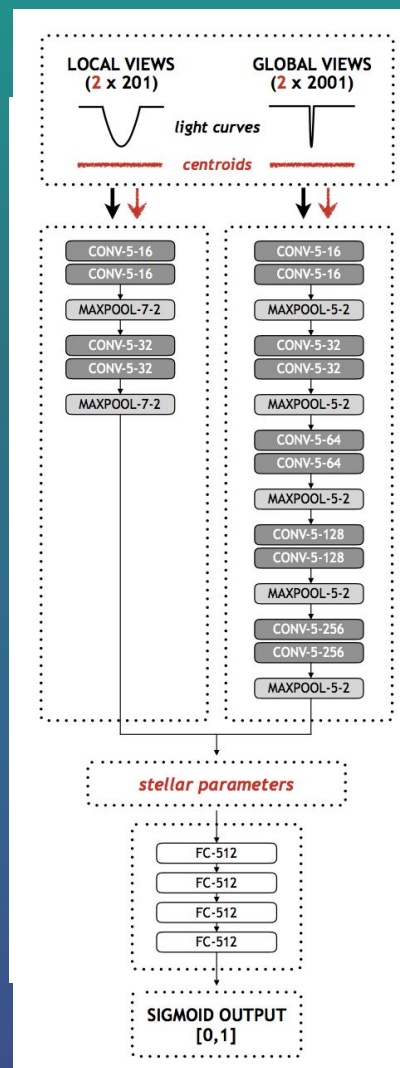
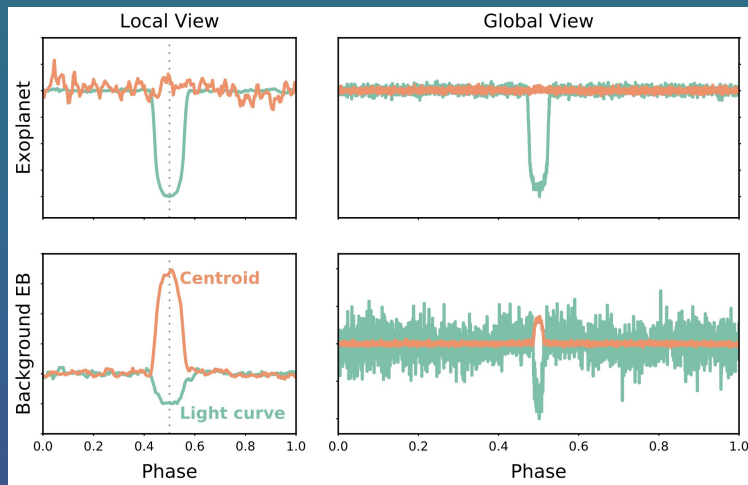
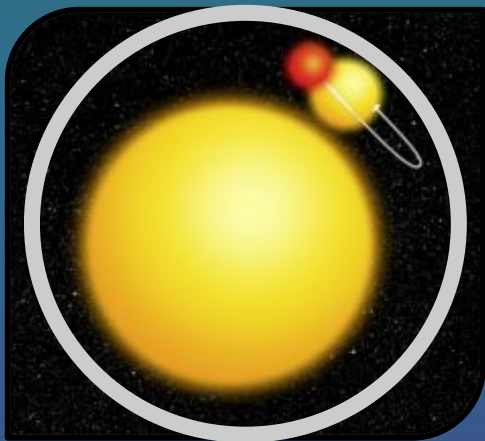
Average Precision

- Weighted average of precision for all classes.
- Functionally similar to Area Under Curve (AUC) for a multi-class classifier - i.e. probability a random positive sample is correctly predicted at any P-R threshold



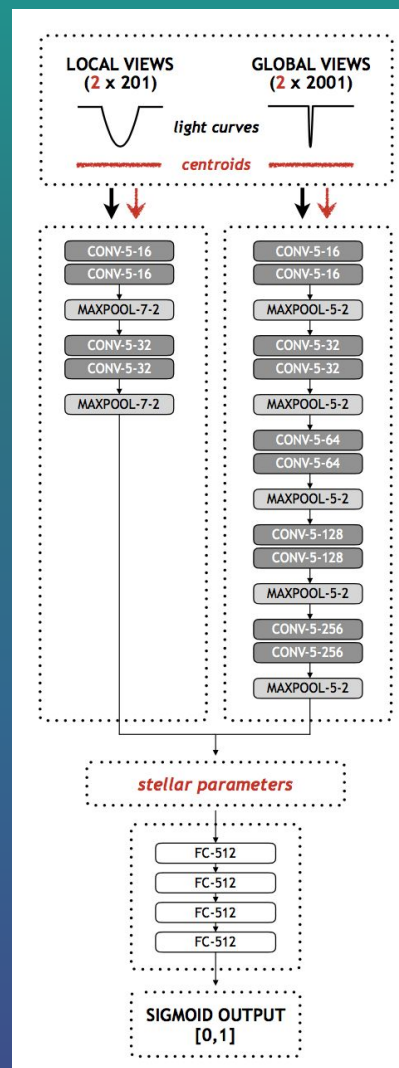
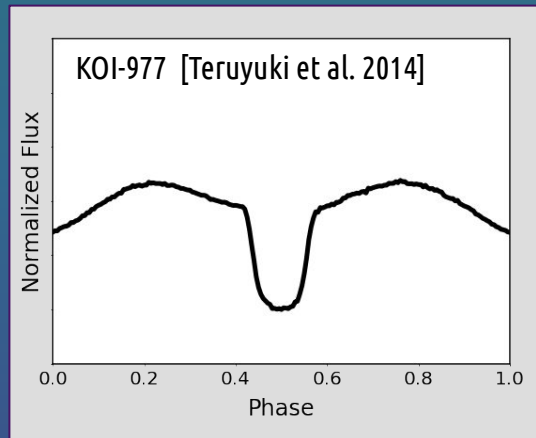
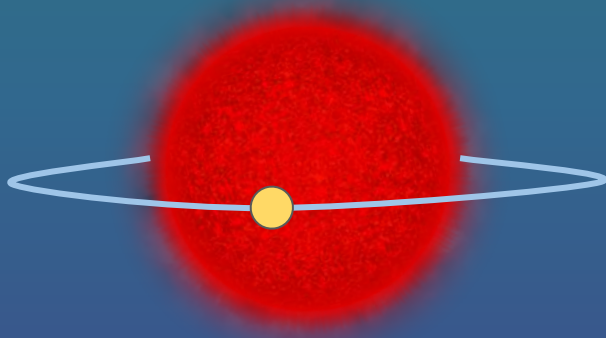
DOMAIN KNOWLEDGE - CENTROIDS

- Position of centre of light over time
- Important for identifying background EBs



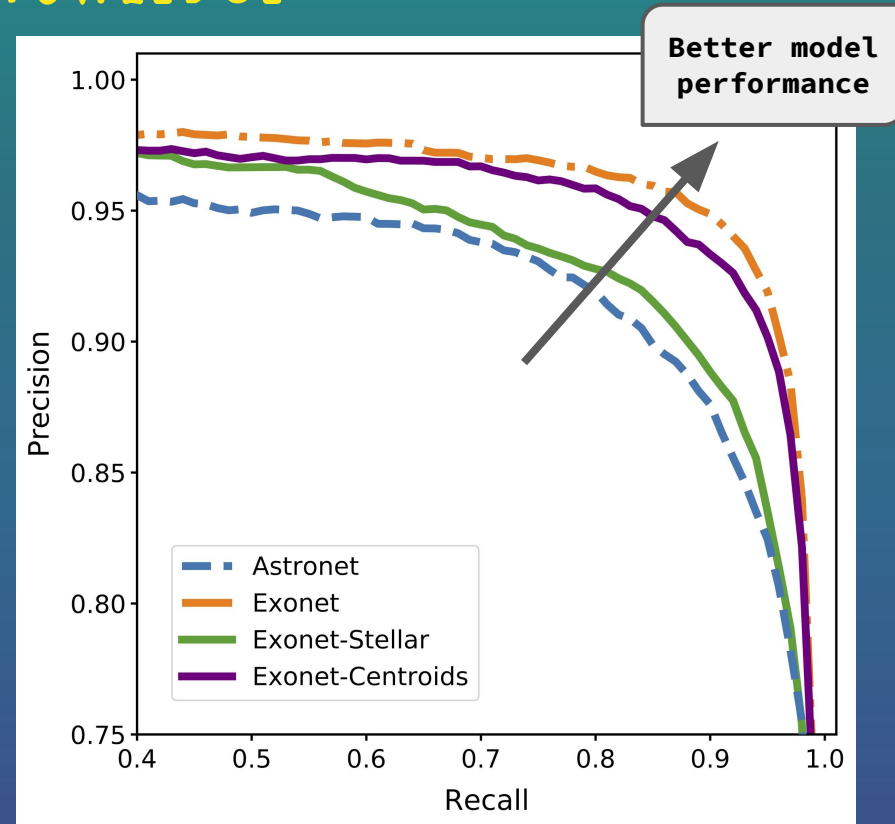
DOMAIN KNOWLEDGE - STELLAR PROPERTIES

- From stellar properties catalog: mass, radius, density, $\log g$, metallicity
- Important for identifying, e.g., giant star binaries



PERFORMANCE WITH DOMAIN KNOWLEDGE

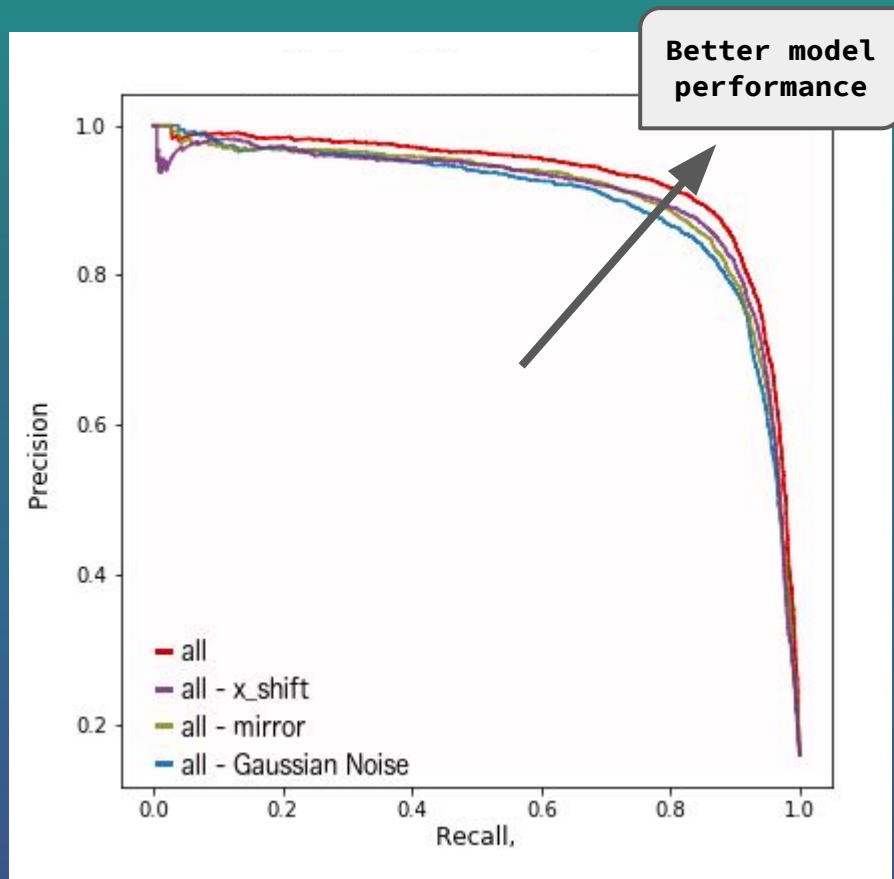
- Centroids & Stellar info both improve performance
- Also helped by cross validation & model ensembling



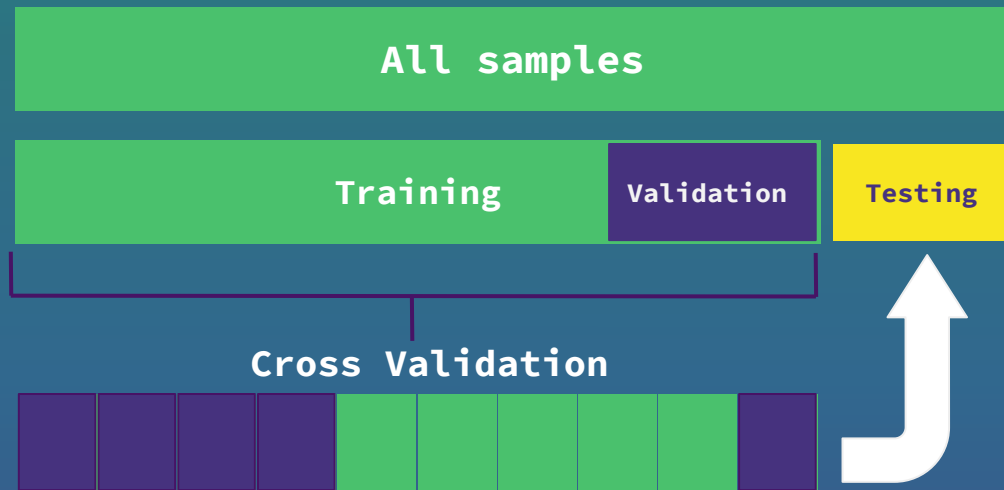
DATA AUGMENTATION

- Modify input data to create “new” data for the neural net, preventing overfitting

	Avg. Precision
Exonet: no augmentation	85.2%
Exonet - Gaussian	89.6%
Exonet - xmirror	90.4%
Exonet - xshift	90.5%
Exonet - all	92.7%



ENSEMBLING & CROSS-VALIDATION



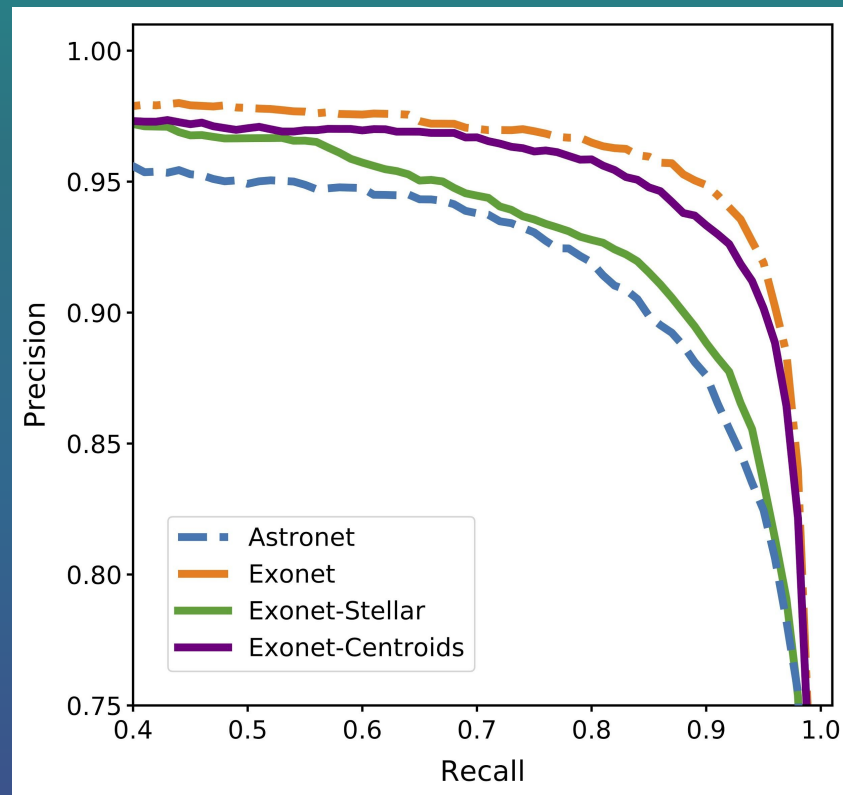
Ensembling / “bagging”:
Taking average of models
applied to test data

*always need test set

Multiple Validation sets = multiple trained models

KEPLER PERFORMANCE

- Thanks to domain knowledge, augmentation, ensembling, etc - Exonet-Kepler improves on Astronet, and is the **best classifier** of Kepler candidates yet.



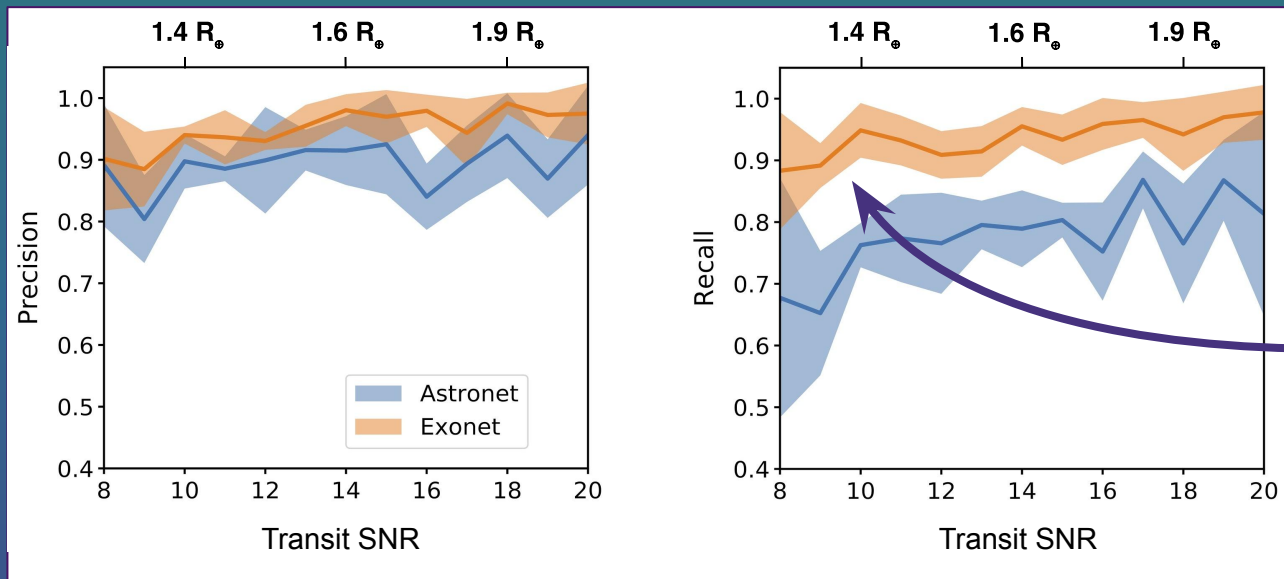
KEPLER PERFORMANCE

- Thanks to domain knowledge, augmentation, ensembling, etc - Exonet-Kepler improves on Astronet, and is the **best classifier** of Kepler candidates yet.

	Planet Precision	Avg. Precision
Autovetter	94.15%	97.19%
Astronet	95.8%	95.5%
Exonet	97.5%	98.0%

KEPLER PERFORMANCE

Improved Performance for Lowest SNR Transits

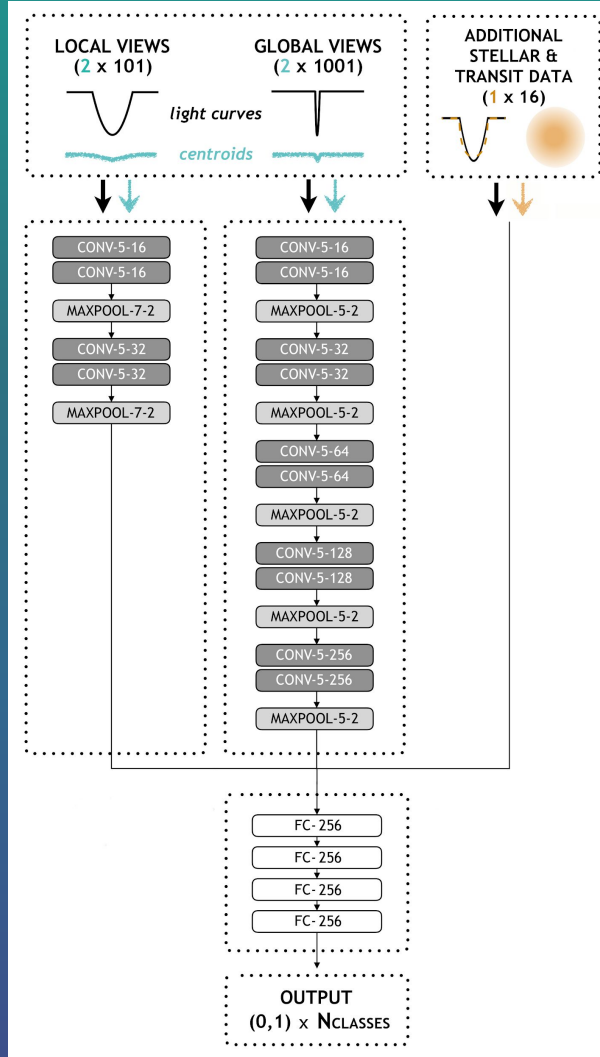


15-20% gains in recall for Earth-sized planets

CLASSIFYING TESS DATA

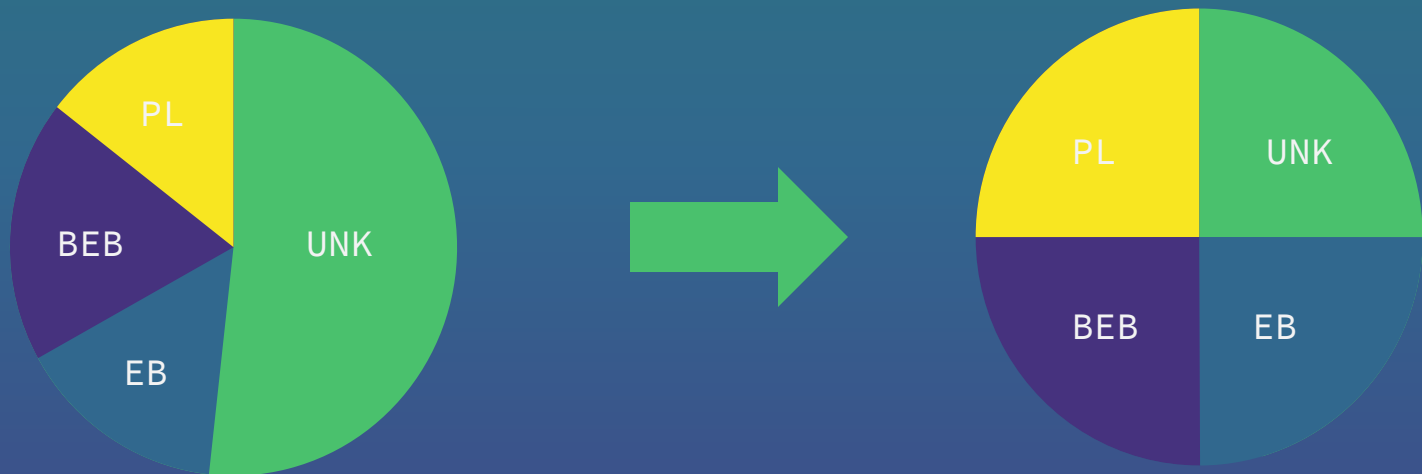
Slightly modified from Kepler -> TESS

- Added additional transit-derived information
- Reduced bins from 2001 to 1001
- Used multi-class modelling



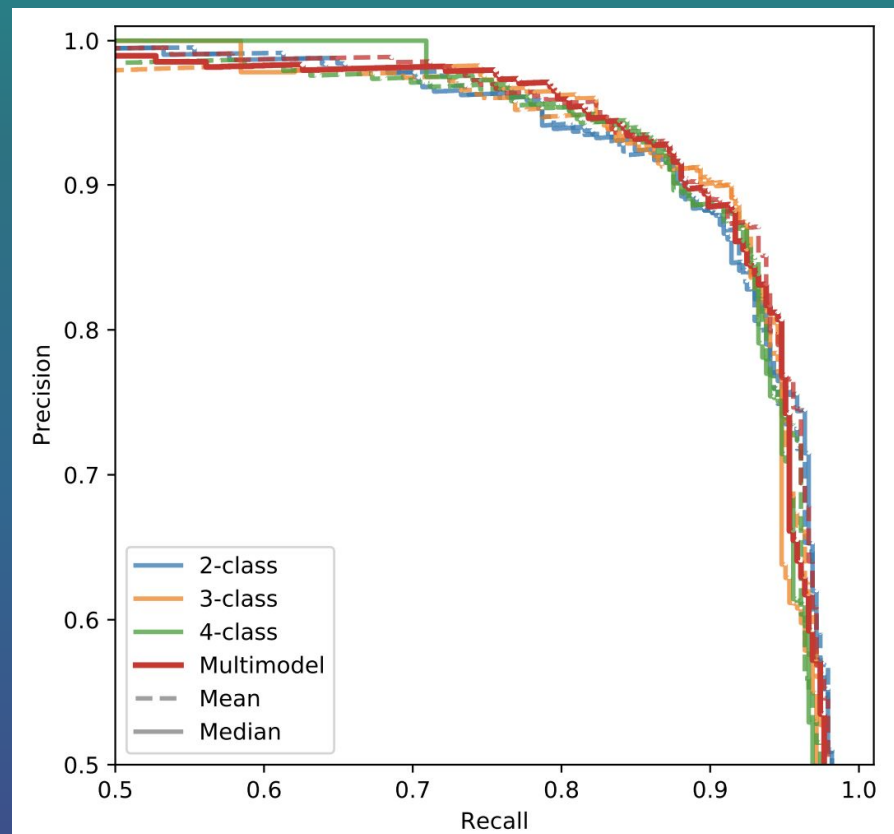
BALANCED BATCH SAMPLING

- Models tend to predict the majority class in unbalanced data
- Re-balancing means that each epoch sees same number of samples from each – helps training

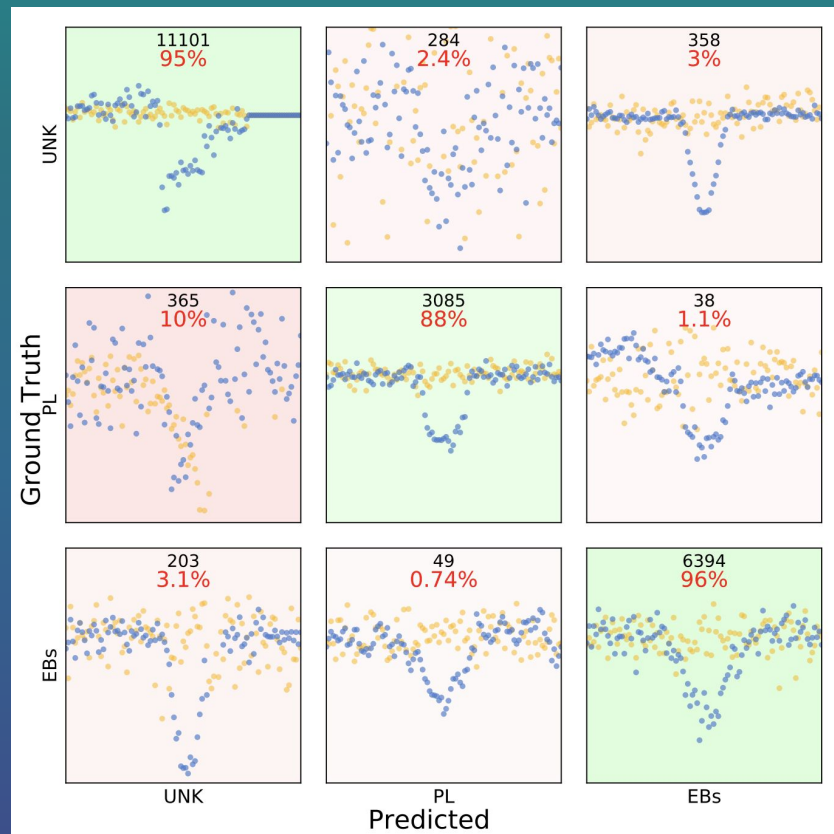
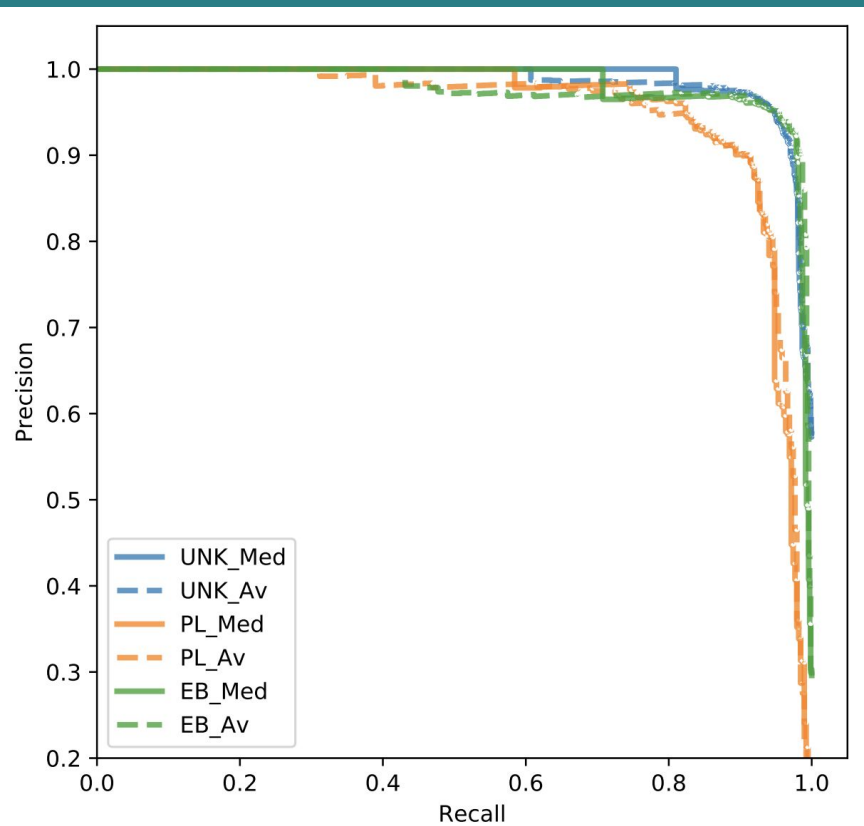


PERFORMANCE ON TESS SIMULATIONS

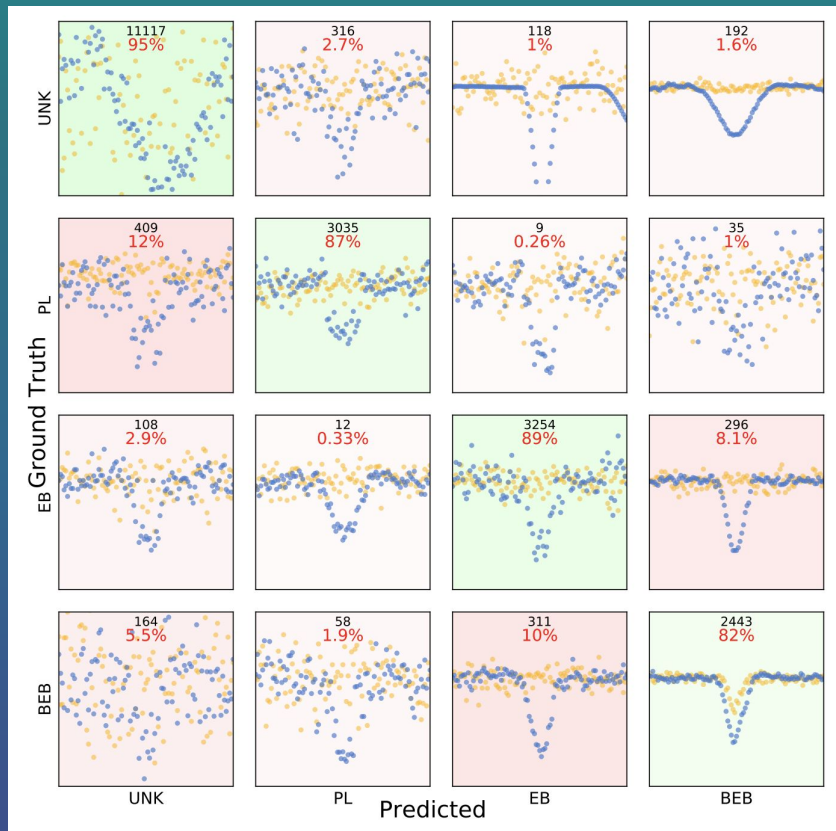
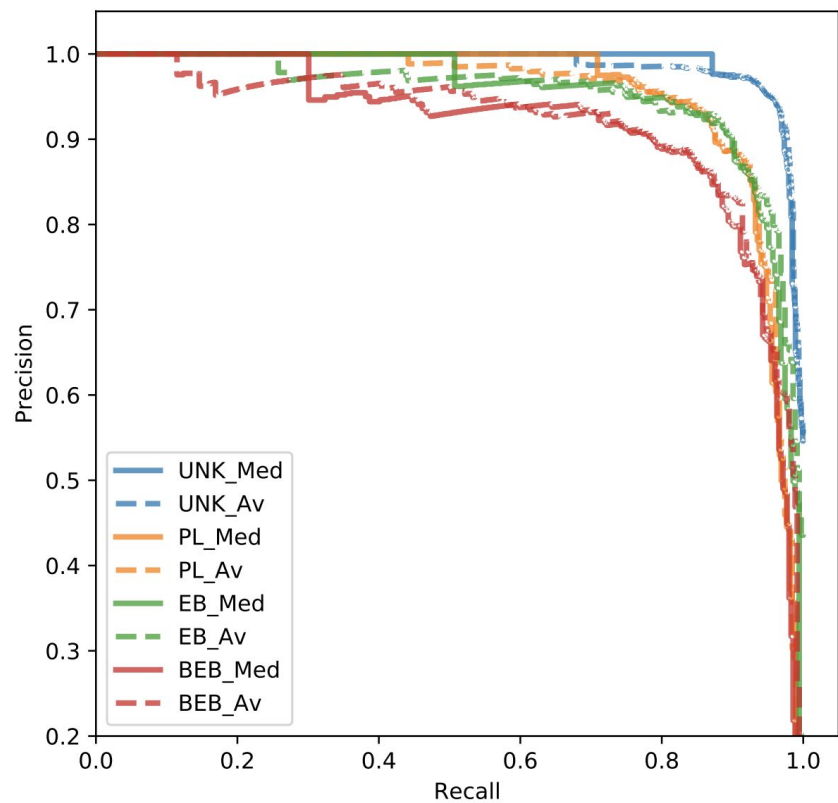
		Planet Precision	Planet Recall	Av. Precision
	Planets	<u>90.4</u>	<u>90.1</u>	<u>95.6</u>
	EBs	95.1	95.1	96.9
	Unknown	94.8	94.9	97.7



3-CLASS MODEL

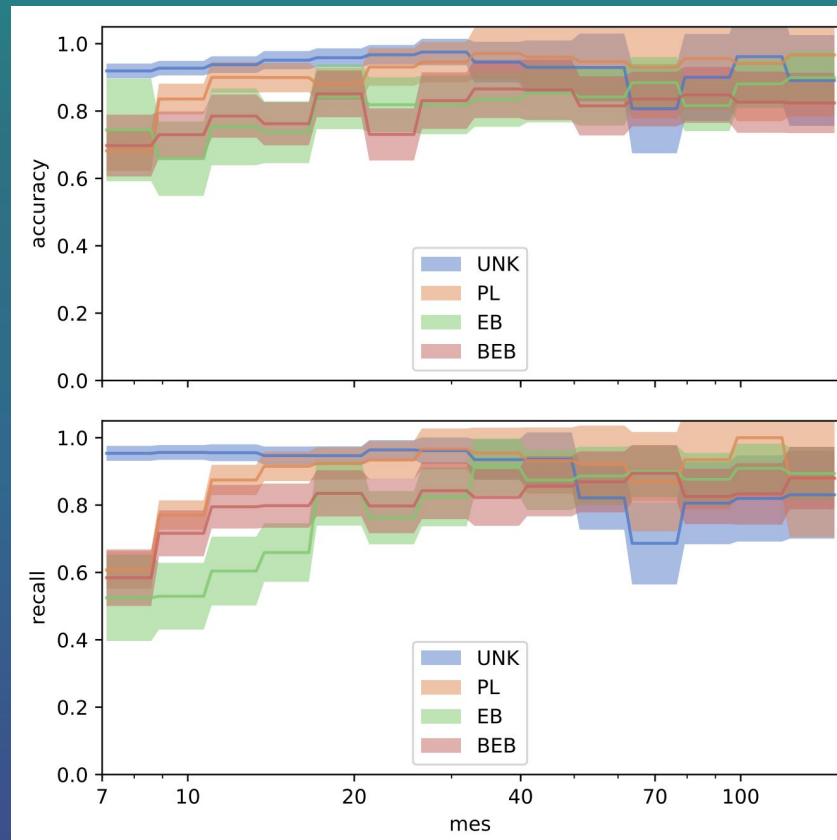


4-CLASS MODEL



PERFORMANCE AS A FUNCTION OF SNR

- Recall deteriorates at low SNR
- 70% precision/accuracy in $7 < \text{SNR} < 8.5$ range
- “Unknown” consistently accurate – model has learnt systematic features

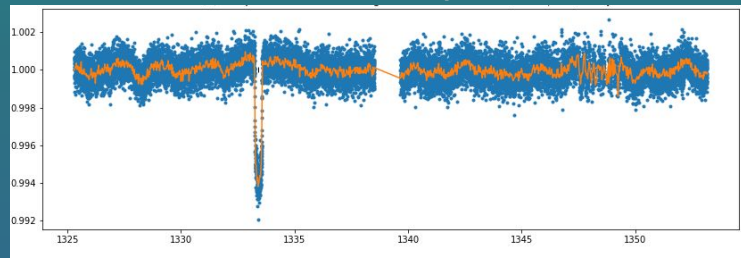


KEPLER-TESS COMPARISON

	Precision on planets
Kepler	98.5%
TESS	90.3%

← Why?

A Monotransit flagged as periodic in real TESS data.



- Labels: Human vetting vs. Simulated ground truth
- “Near misses” – 196 “false positives” are planets
 - 44% from monotransits
 - 25% from period confusion
- Including “near misses” – planet precision from 90.3% to 95.1%

APPLICATION TO REAL TESS DATA

Fast! Much quicker than other TESS vetting methods!

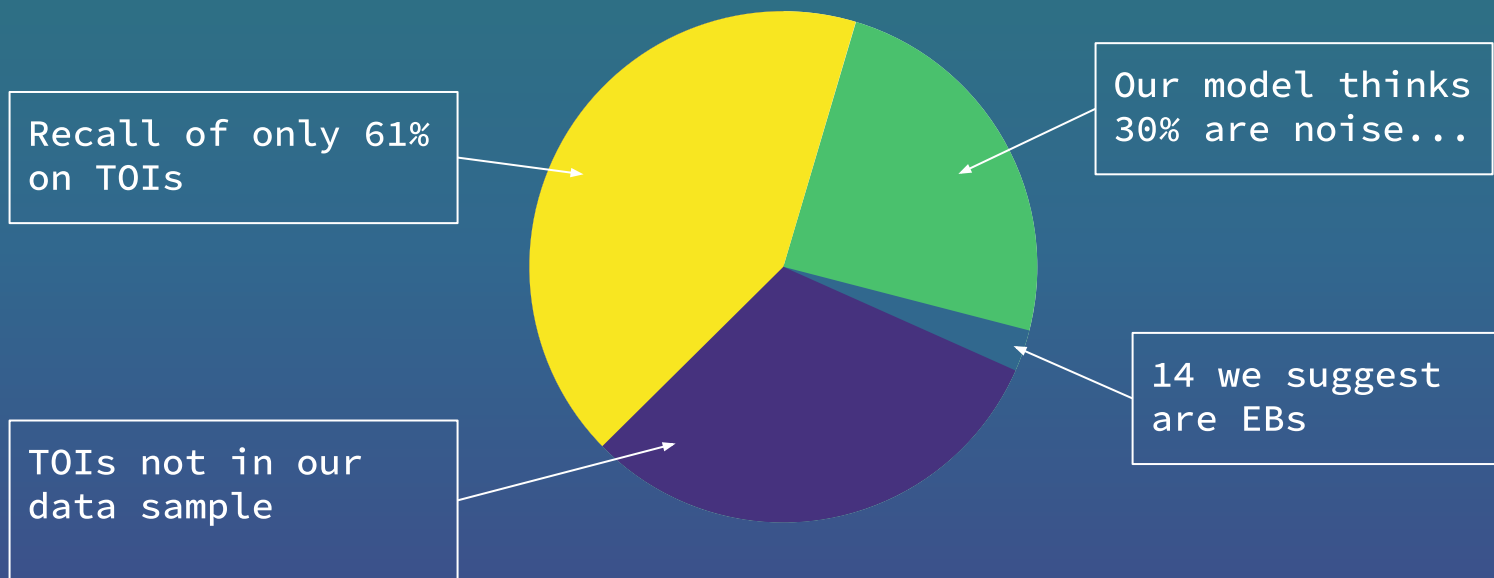
- ~60 minutes to pre-process lightcurves
- 5 minutes to predict with trained model on one GPU

But real data \neq simulated data

- Simulated systematic noise \neq real noise
- Injection populations \neq real populations
- No “ground truth” to make comparisons

APPLICATION TO REAL TESS DATA

All TOIs in Sectors 1-5

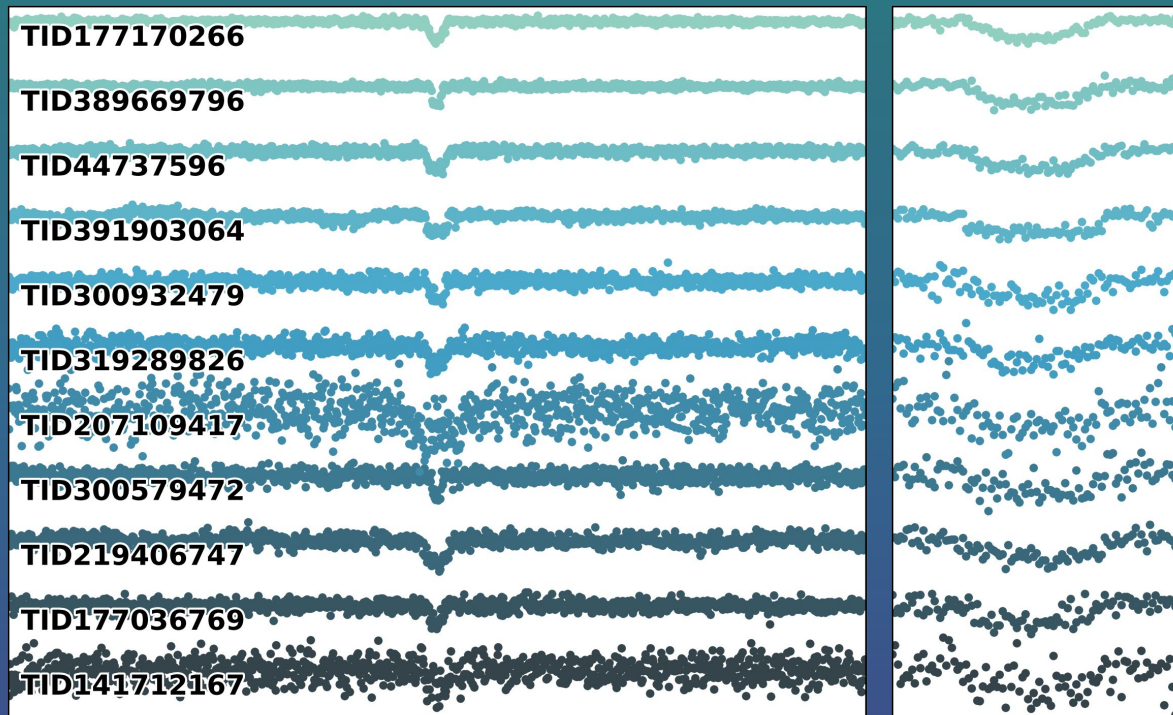


NEW PREDICTED PLANETS

>100 new candidates from
model predictions

Problems:

- Many giant binaries in predicted sample
- Some targets share the same period & epoch - reflections from a bright binary



CONCLUSION

- Machine Learning using “domain knowledge” enables fast & more accurate classification of transiting planet candidate vetting.
- Kepler-ExoNet is the best-performing model yet tested, with a precision on Kepler candidates of 97.5%
- TESS-ExoNet also performs well, achieving 95% planet precision on simulated training set.
- However, models trained on simulations don't (yet) perform as well on real data!
- We have identified promising new candidates missed by manual vetters.

THANKS!
ANY QUESTIONS?

Hugh Osborn

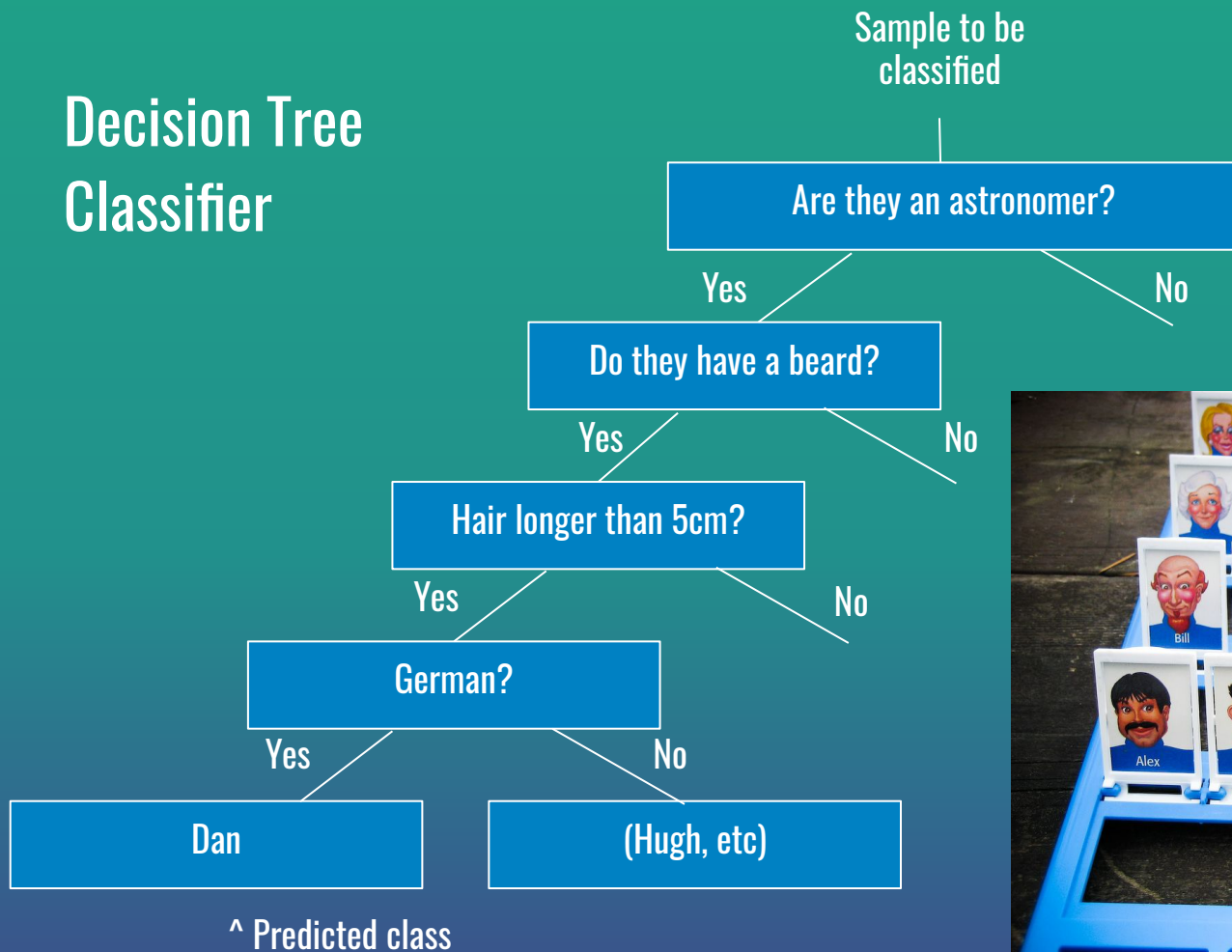
CLASSIFICATION WITH MACHINE LEARNING

Classes >

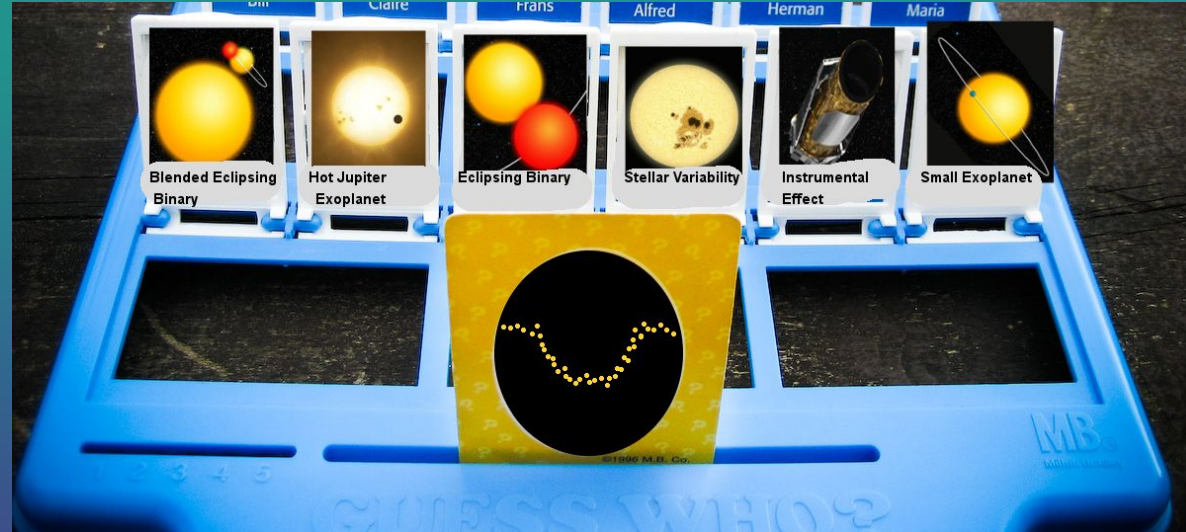
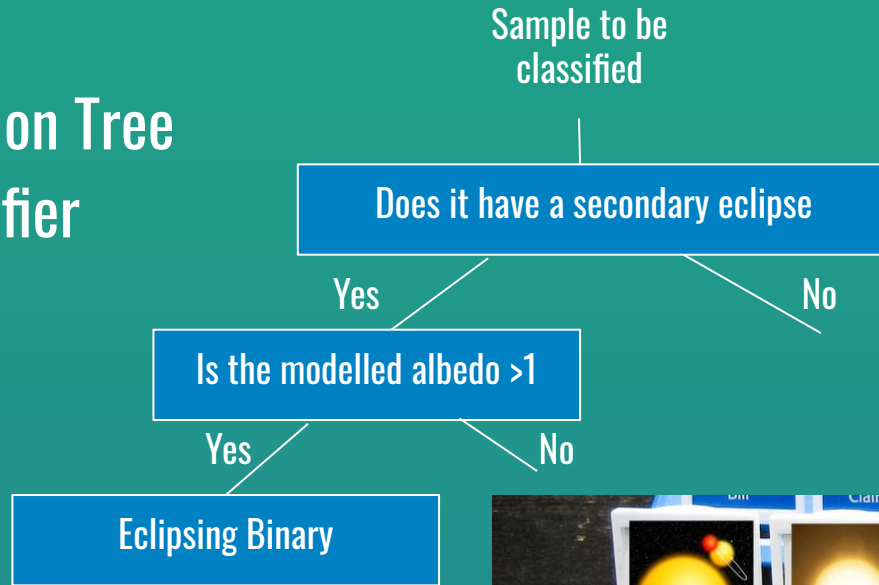
Samples ^

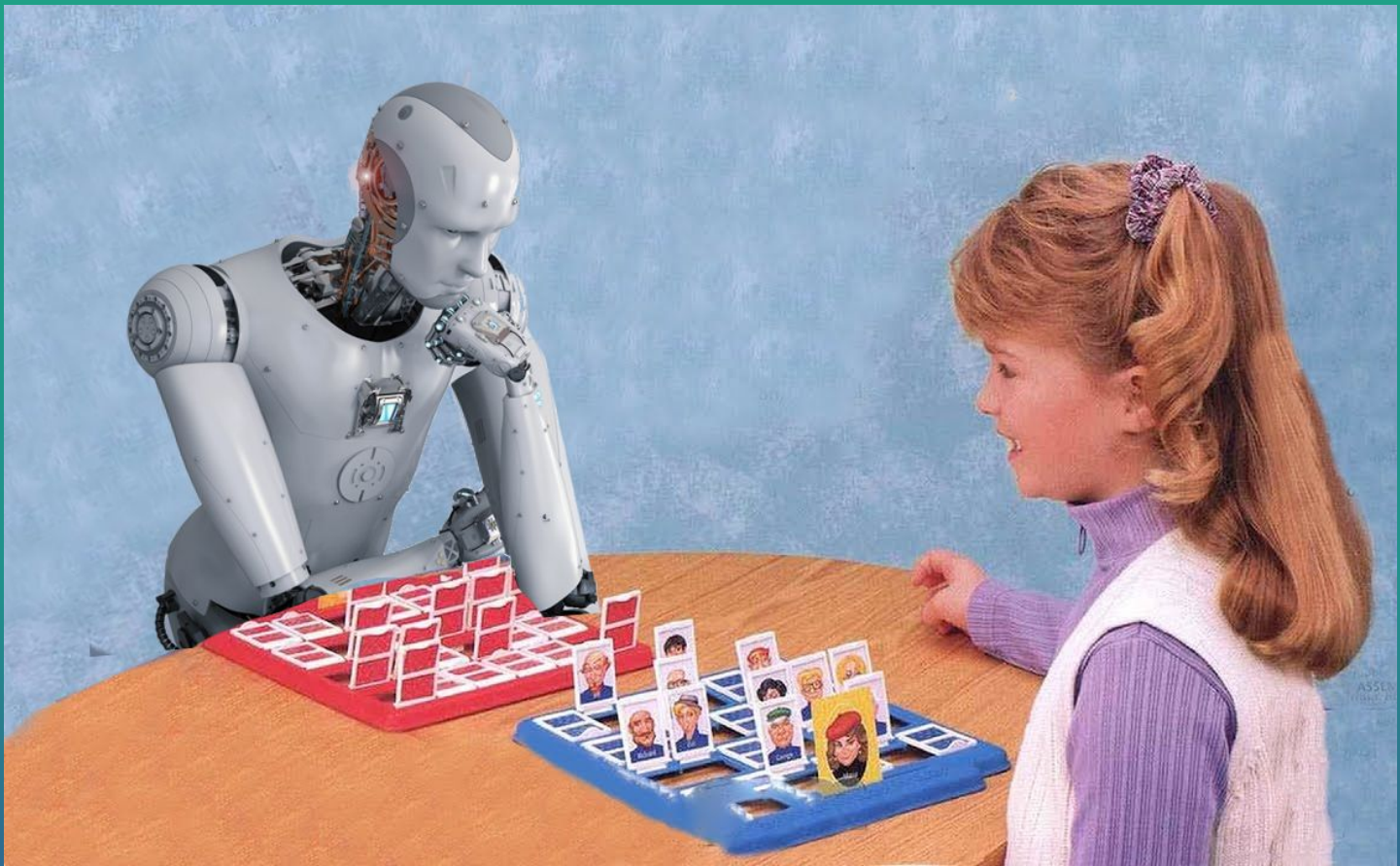


Decision Tree Classifier



Decision Tree Classifier





How can we classify with minimal human processing?
With Machine Learning

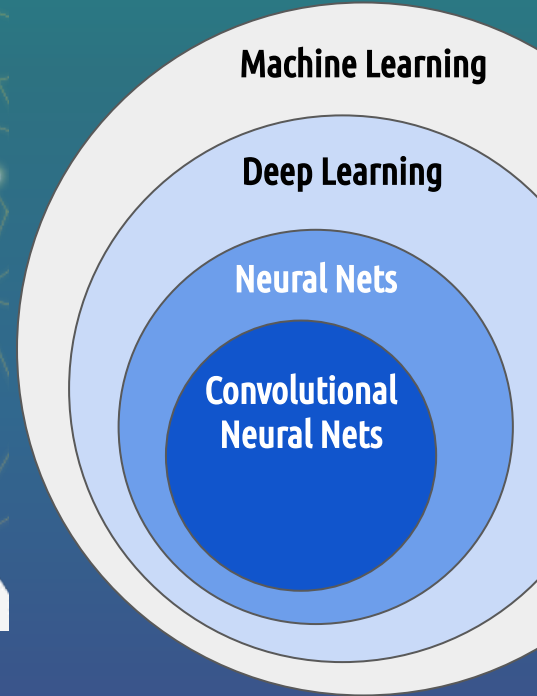
MACHINE LEARNING



Translation



Self-driving cars

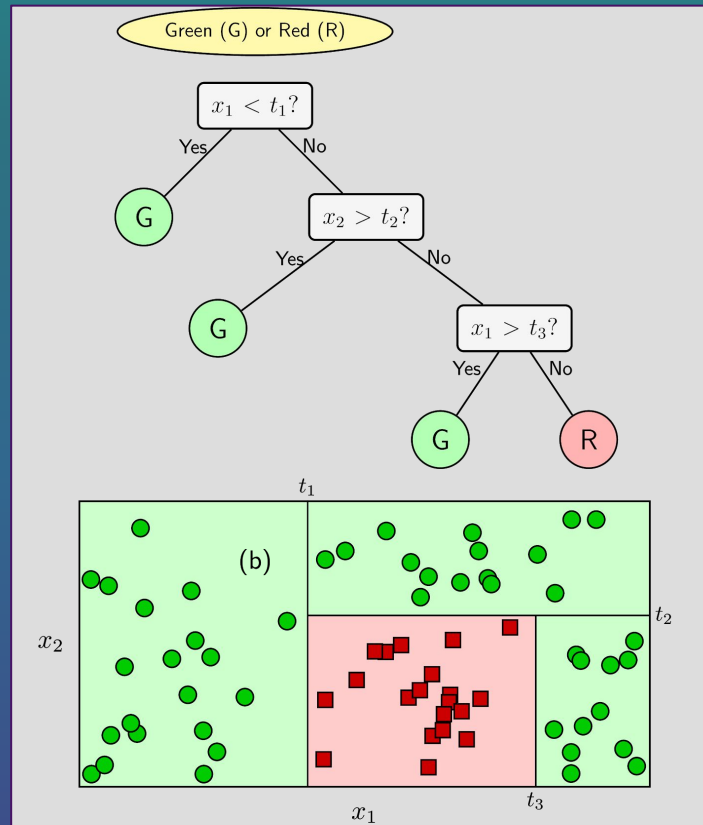


DECISION TREES

- Decision trees are the simplest form of machine learning
- The thresholds and position of each decision node are varied until error is minimised.

Problems:

- Decision thresholds are linear (eg 1D)
- Requires input of 'features' derived from data



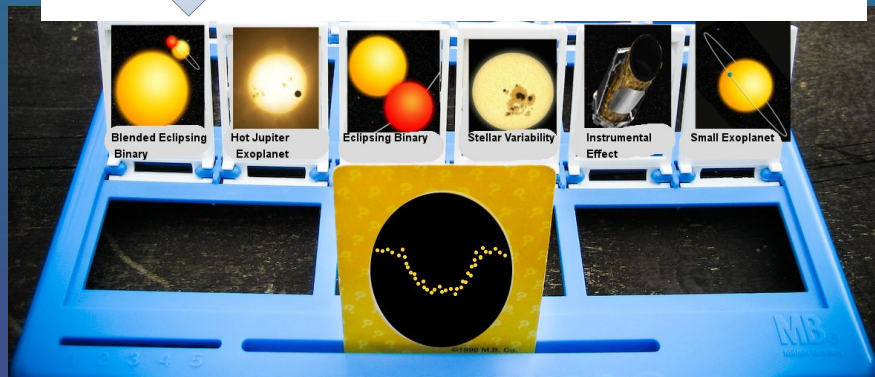
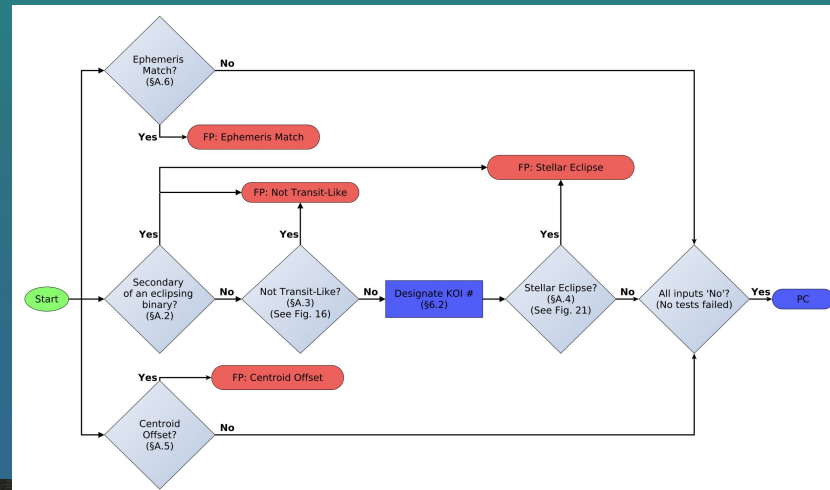
ROBOVETTER - DECISION TREE

“Robovetter” – Thompson et al 2017.

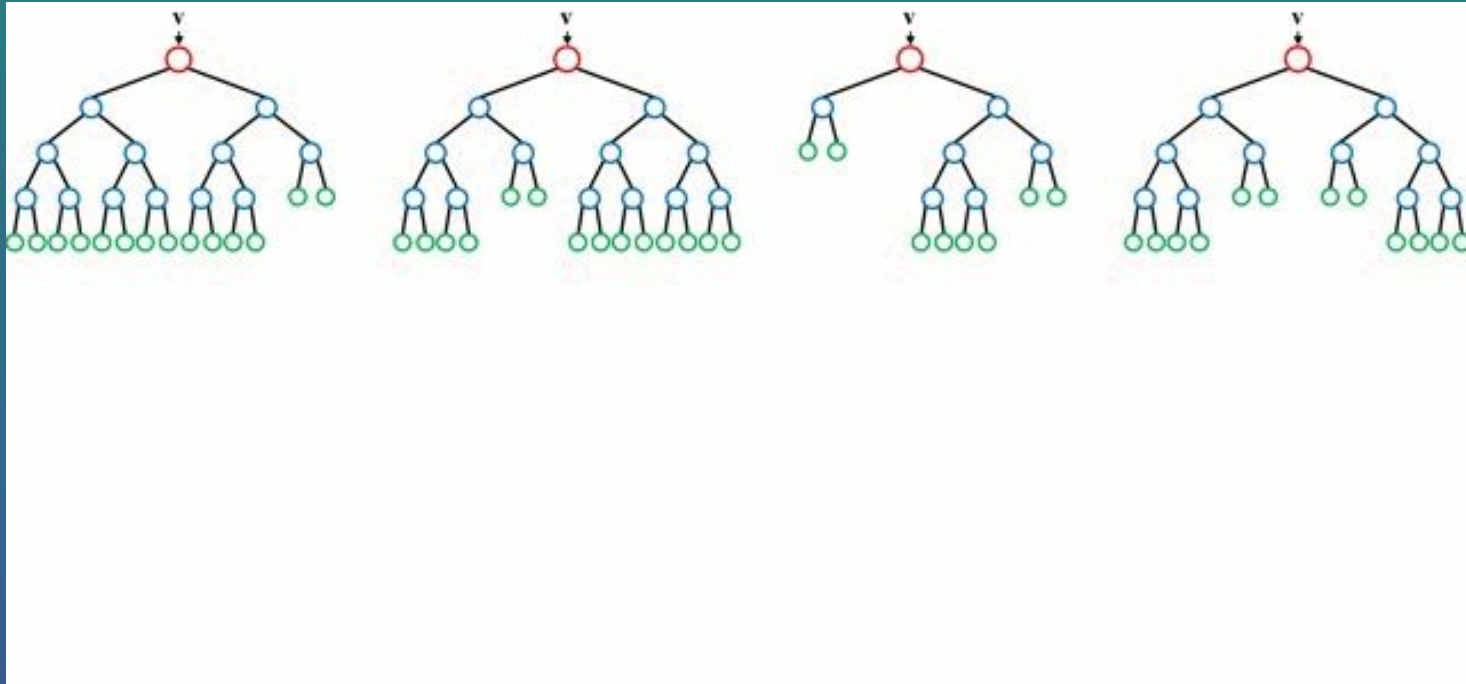
Decision tree classifier used to produce Kepler’s homogenous catalogue in DR25.

Used features processed from lightcurve.

Achieved a recall of around 80% on injected data.



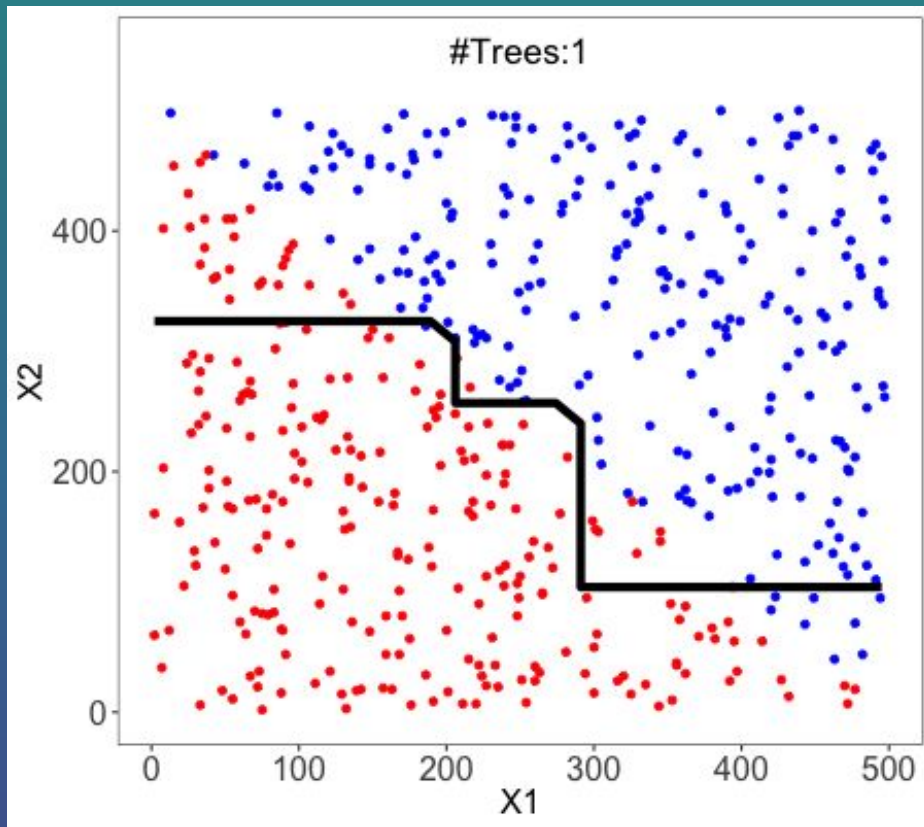
RANDOM FORESTS



- Each tree sees random subset of whole dataset
- Each decision step uses random selection of available

RANDOM FORESTS

- While each tree splits the data “linearly”, averaging of many trees approximates non-linear splits in data.



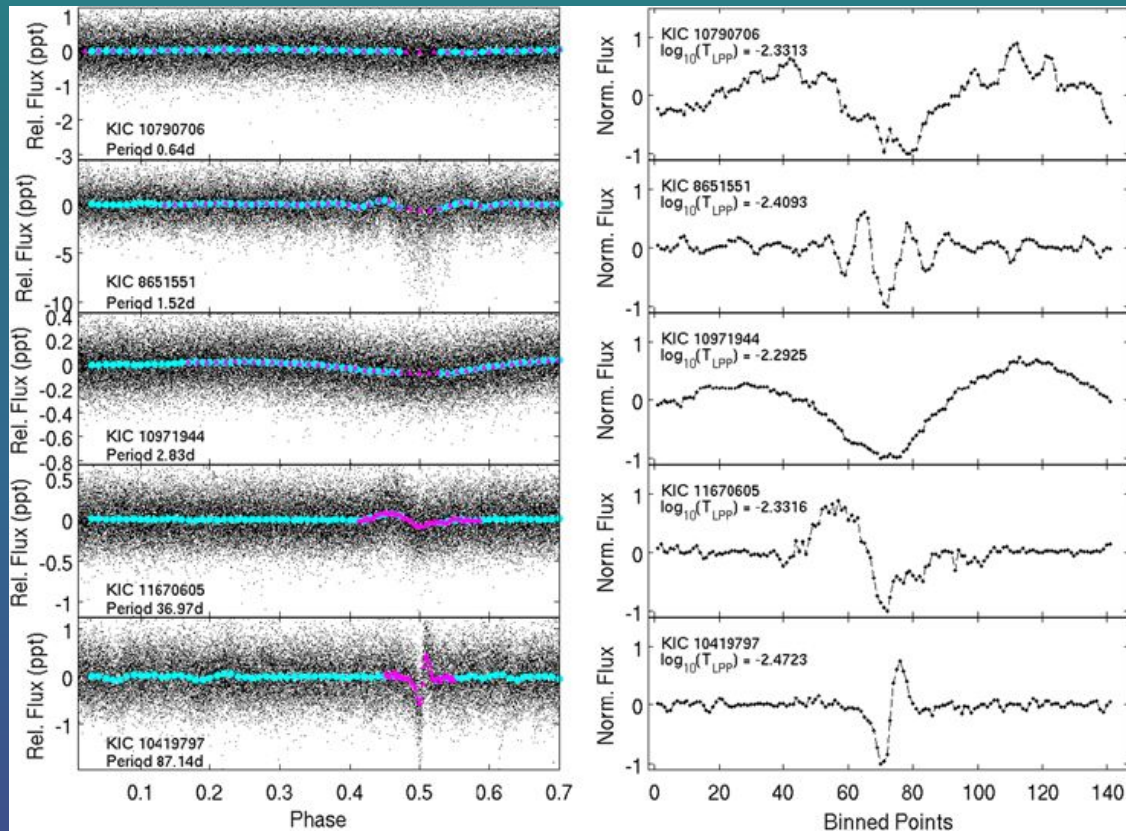
EXOPLANET CLASSIFICATION WITH KNNs

Thompson et al (2015).

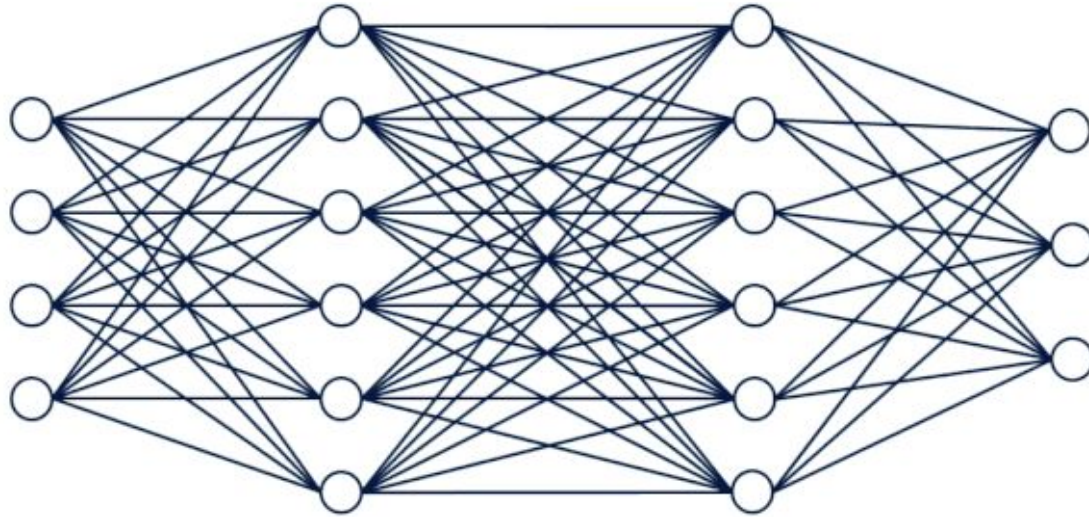
Used a “K-Nearest Neighbours” (KNN) unsupervised approach.

Takes average of nearest labelled features.

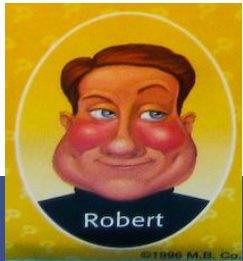
Used as inputs binned & normalised phase-folded transits.



NEURAL NETWORKS



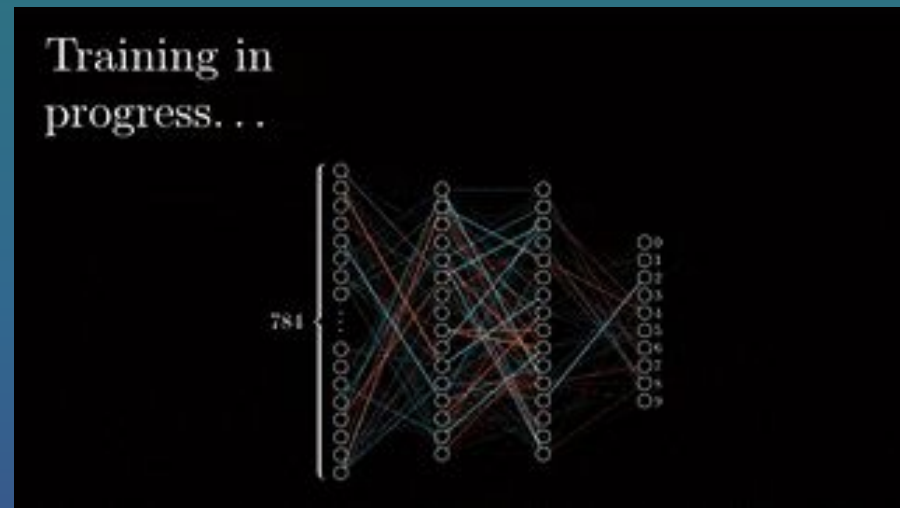
Hidden Layers



NEURAL NETWORKS

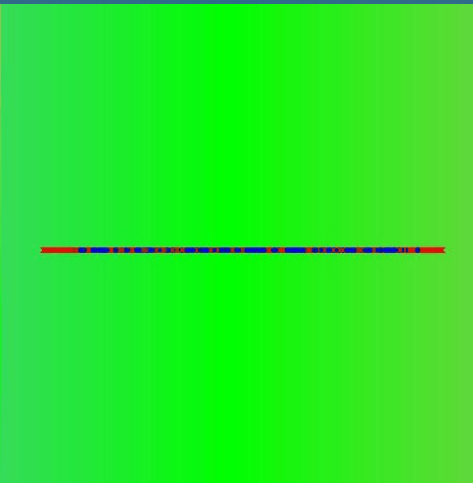
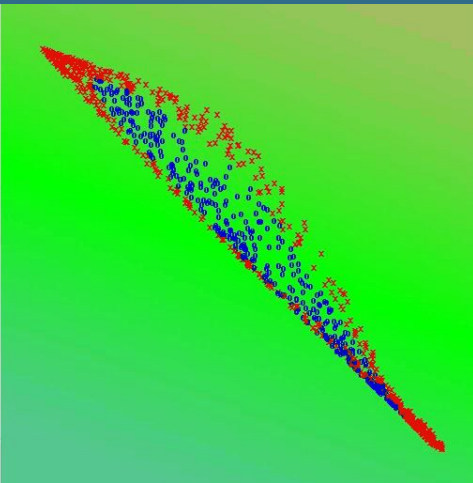
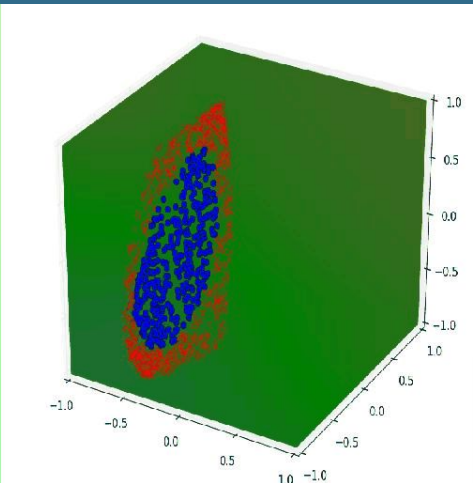
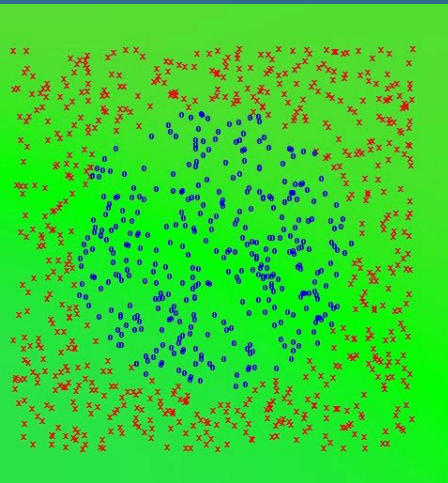
Training neural networks

- Quantify how poorly prediction was compared to ground truth
- Performance is then “back-propagated” through network to weights between neurons.
- These are adjusted such that the updated weight should decrease overall loss



NEURAL NETWORKS

- Neural Networks are not inherently “linear” – can better map irregular parameter spaces
- Hidden layers allow “abstraction” – acts like a new dimension in which to “fold” the (lower dimensionality)



UNSUPERVISED LEARNING (SOMs)

Armstrong et al, 2016

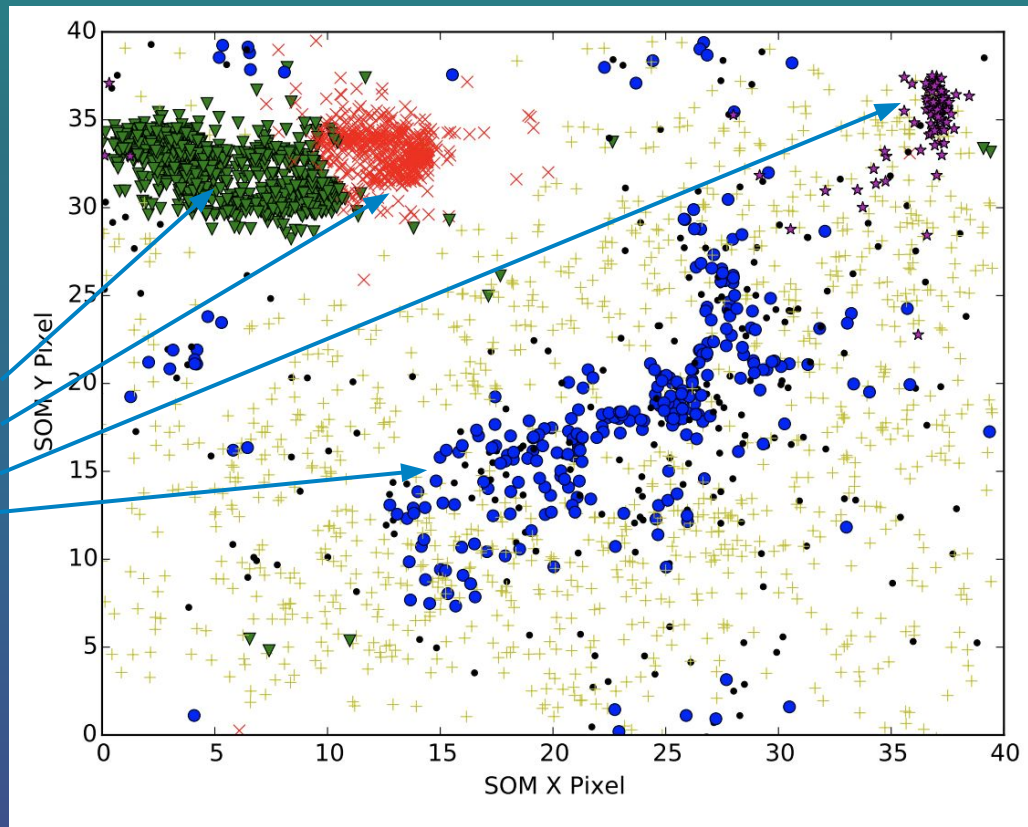
Self-Organising Map (SOM) –
a type of neural network
which reduces dimensionality
without any supervision

Creates isolated regions of
self-similar input data

Performed on 4 K2 campaigns.

Pixel position used an input
into Random Forest.

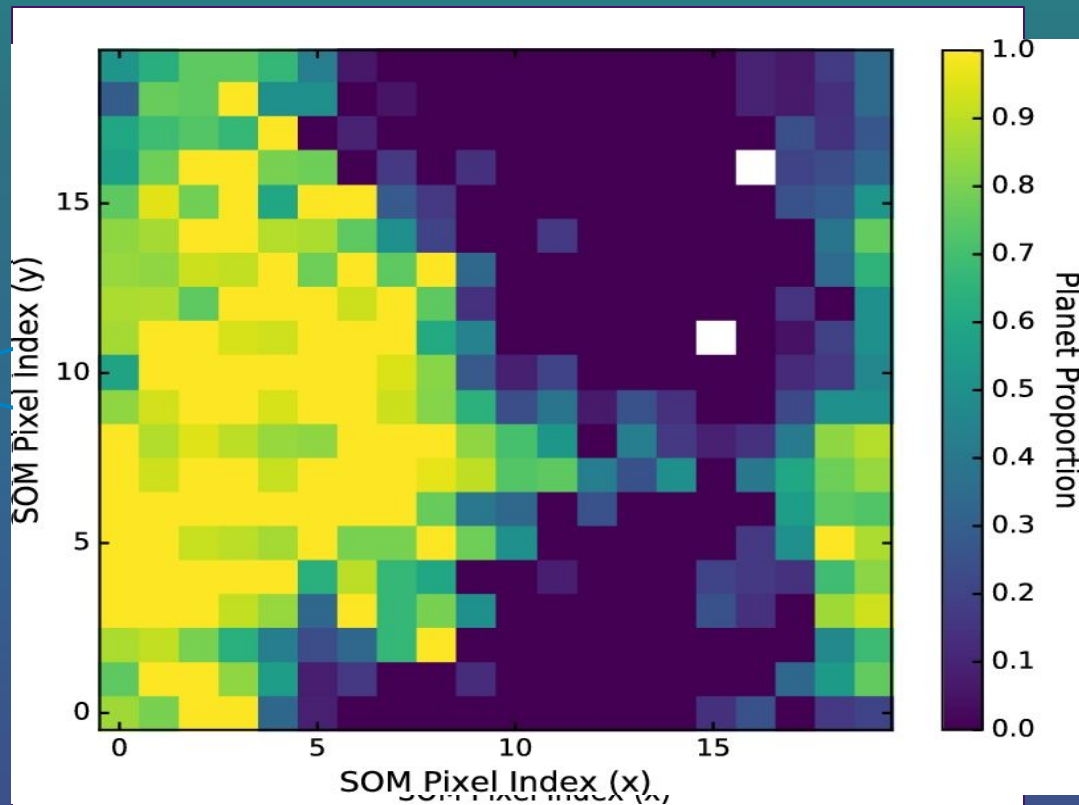
Detached EBs
Contact EBs
RR Lyraes
Delta Scutis



SELF ORGANISING MAPS FOR EXOPLANETS

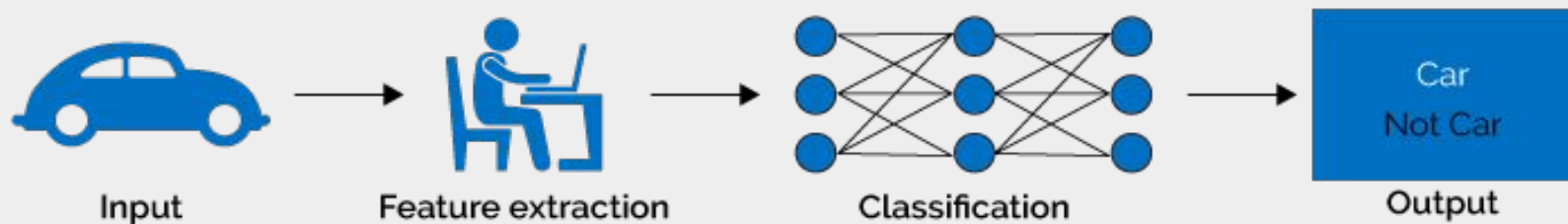
Armstrong et al (2017)

SOM and random forest
applied to Planet
candidates in K2 & Kepler
EBs
Planets
~79% accuracy on Kepler
planets

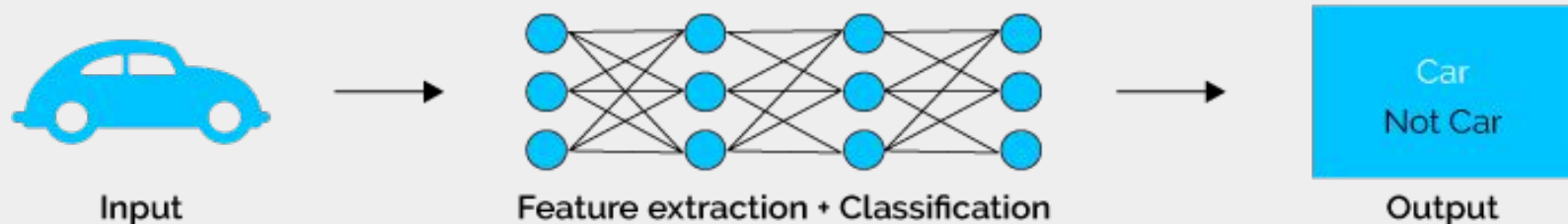


CONVOLUTIONAL NEURAL NETWORKS

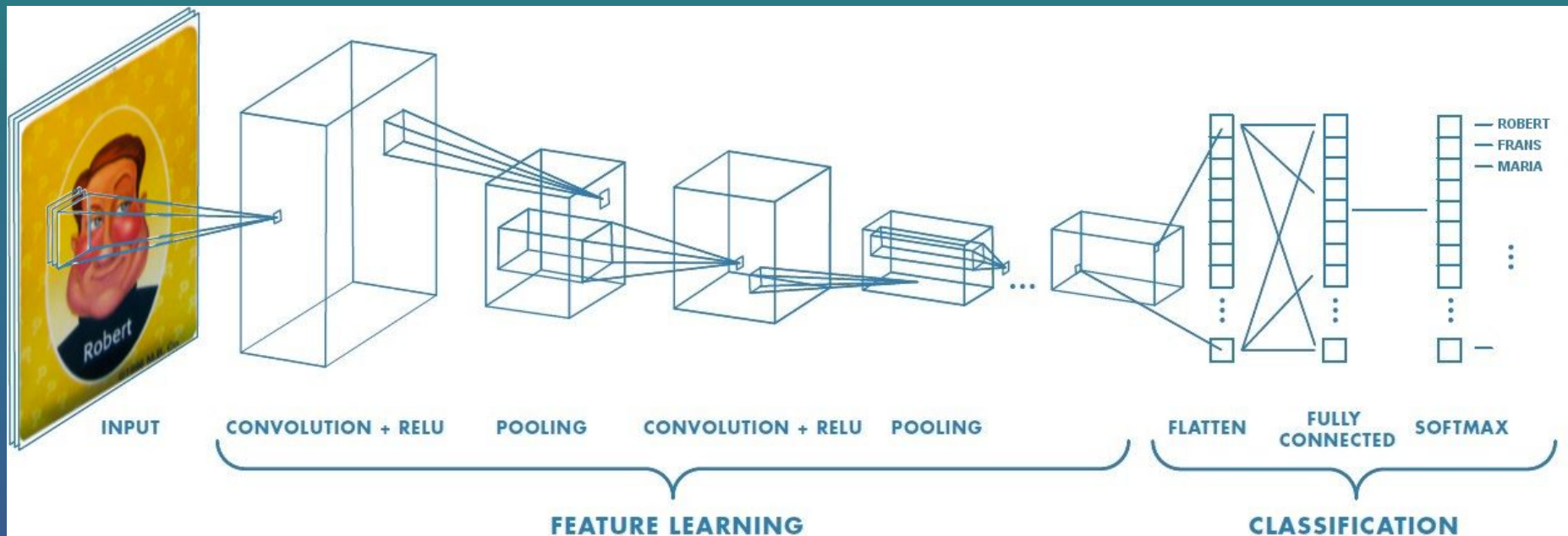
Machine Learning



Deep Learning



CONVOLUTIONAL NEURAL NETWORKS

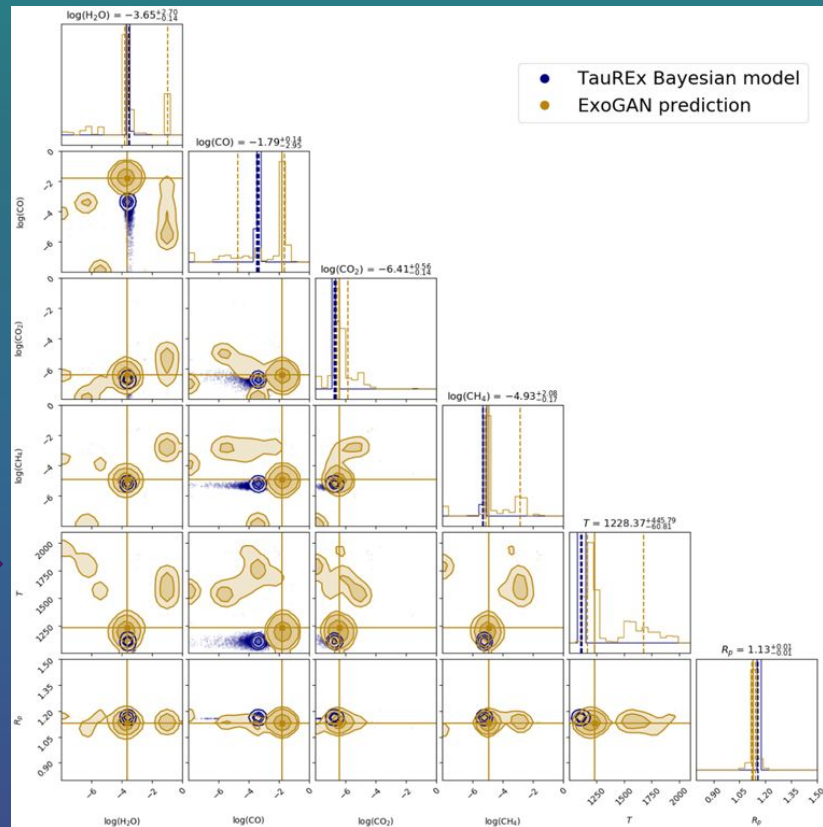
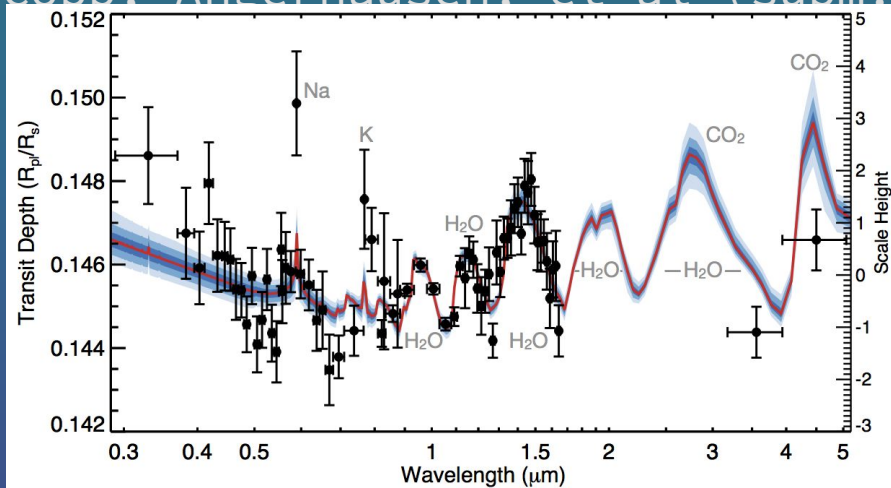


- Raw image “convolved” with range of filters (which themselves are trained with back propagation)
- Enables Feature extraction from the raw data (although raw

CNNS FOR ATMOSPHERIC RETRIEVAL

Waldmann (2015) & Zingales (2018) – RoBERT using Neural networks

Cobb, Angerhausen, et al (subm.)



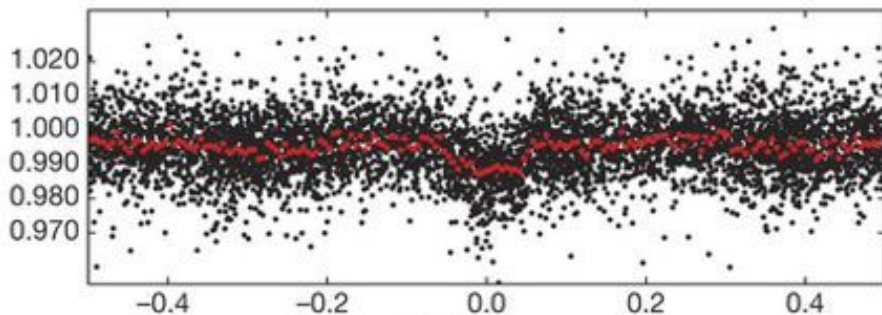
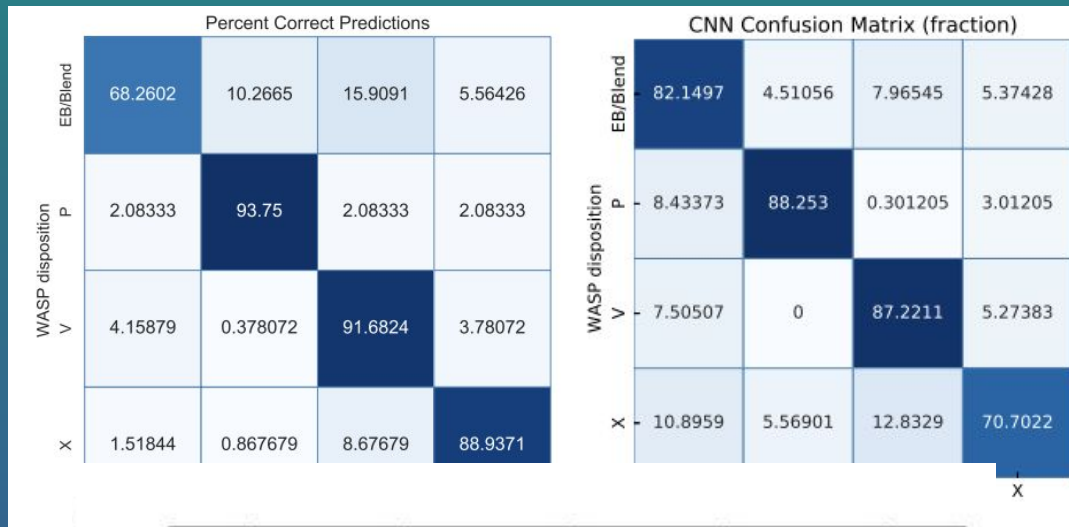
GROUND-BASED TRANSITS WITH RF & CNNs

Schanche et al. (2018)

Classified WASP planet candidates with both Random Forest and Convolutional Neural Network.

CNN gives better average precision, but random forest performs best on planets:

MEarth used Neural



CNNs FOR EXOPLANET DETECTION

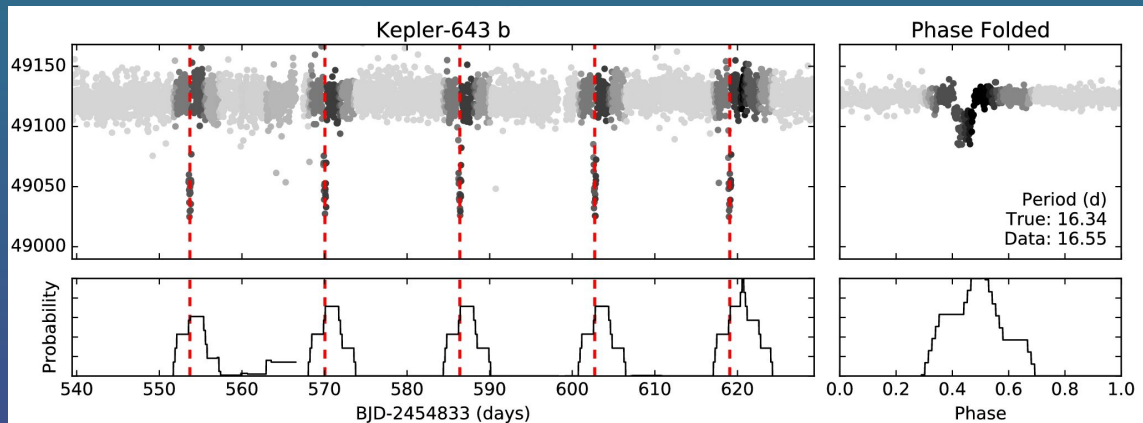
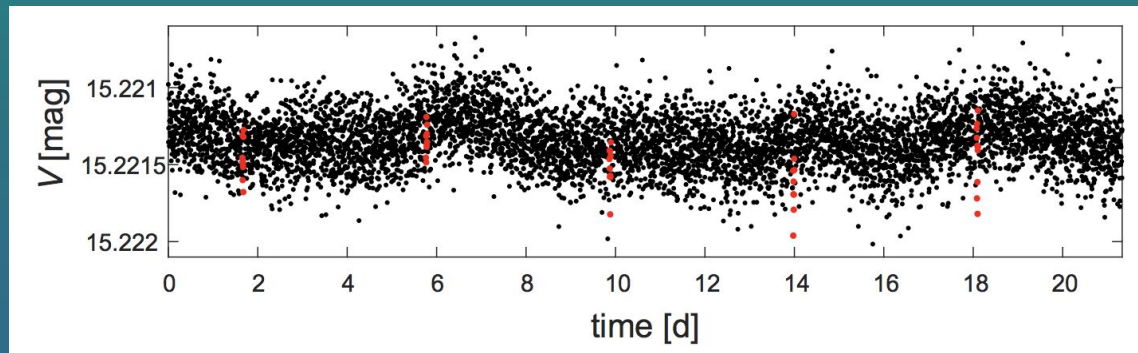
Two parallel papers using neural networks to detect exoplanets:

Zucker et al, (2017)

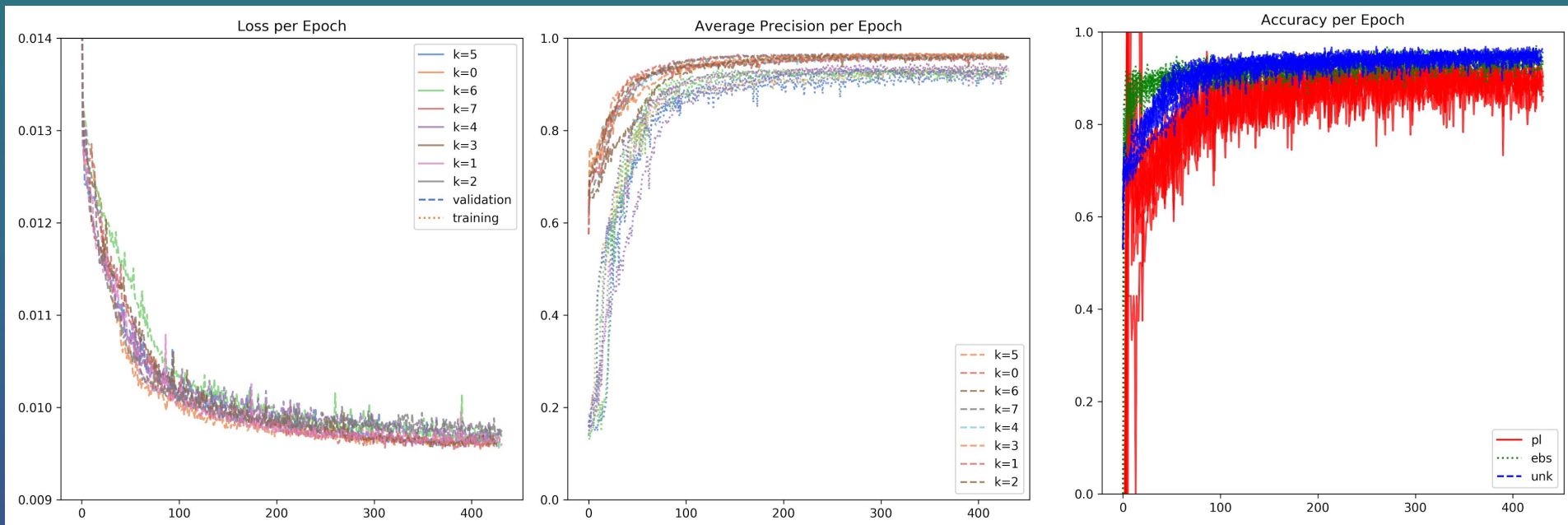
Pearson et al, (2017)

Difficult as neural networks cannot natively learn “periodicity”.

Neither deal with classifying real planets vs false positives



CLASSIFYING TESS SIMULATIONS



Osborn, Ansdell, Ioannou, Sasdelli, et al. (subm)